

Edgar Filing: LOTUS PACIFIC INC - Form 3

LOTUS PACIFIC INC
 Form 3
 July 19, 2001

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 FORM 3

 OMB APPROVAL

 OMB Number:.....2285-0104
 Expires:December 31, 2001
 Estimated average burden
 Hours per response0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f)
 of the Investment Company Act of 1940

(Print or Type Response)

1. Name and Address of Reporting Person* TCL HOLDINGS CO. LTD. ----- (Last) (First) (Middle) No. 6 ER LING NAN LU ----- (Street) Huizhou, PRC ----- (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 19 JULY 1999 ----- 3. I.R.S. or Identification Number of Reporting Person (if not entity) (voluntary) -----	4. Issuer Name and Ticker Trading Symbol LOTUS PACIFIC, INC. L ----- 5. Relationship of Repo Person to Issuer (Check all applicable) Director X ----- Officer ----- (give ----- title below) -----
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TABLE 1 -- NON-DERIVATIVE SECURITIES BENEFICIALLY OWNED

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)
Common Stock	9,606,671	I
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Reminder: Report on a separate line for each class of securities beneficially owned indirectly or indirectly. * If the form is filed by more than one reporting person, see Instruction 5(b) (v).
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SEC 1473 (3-99)

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FORM 3 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES BENEFICIALLY OWNED
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/ Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security		
	Date Exercisable	Expira- tion Date	Title	Amount or Number of Shares	
N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

/s/ Li Dong

**Signature of Re

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

"top"> Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Such shares were purchased pursuant to the Company's 2005 Stock Plan for Non-Employee Directors under which each eligible non-employee Director may defer compensation and elect to receive such compensation at a future date in the form of stock.
- (2) Price reflects the closing price of the issuer's stock as reported on the New York Stock Exchange on January 2, 2009, the date on which the reporting person elected to receive his deferred compensation.

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