

AU OPTRONICS CORP
Form 6-K
January 29, 2016

FORM 6-K

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

**Pursuant to Rule 13a-16 or 15d-16
of the Securities Exchange Act of 1934**

January 29, 2016

Commission File Number 001-31335

AU Optronics Corp.
(Translation of registrant's name into English)

**No. 1 Li-Hsin Road 2
Hsinchu Science Park
Hsinchu, Taiwan**
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Note: Regulation S-T Rule 101(b)(1) only permits the submission in paper of a Form 6-K if submitted solely to provide an attached annual report to security holders.

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Note: Regulation S-T Rule 101(b)(7) only permits the submission in paper of a Form 6-K if submitted to furnish a report or other document that the registrant foreign private issuer must furnish and make public under the laws of the

Edgar Filing: AU OPTRONICS CORP - Form 6-K

jurisdiction in which the registrant is incorporated, domiciled or legally organized (the registrant's "home country"), or under the rules of the home country exchange on which the registrant's securities are traded, as long as the report or other document is not a press release, is not required to be and has not been distributed to the registrant's security holders, and, if discussing a material event, has already been the subject of a Form 6-K submission or other Commission filing on EDGAR.

Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes No ..X...

If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b):

Not applicable

INDEX TO EXHIBITS

Item

1. Taiwan Stock Exchange filing entitled, "Increase capital expenditure budget and reduce the budgeted capital expenditures approved by the Board" dated January 29, 2016.

2. Taiwan Stock Exchange filing entitled, "The Company will participate in the cash capital increase of Konly Venture Corp." dated January 29, 2016.

Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

AU Optronics Corp.

Date: January 29, 2016 By: /s/ Andy Yang
Name: Andy Yang
Title: Chief Strategy Officer

Item 1

AU Optronics Corp.

January 29, 2016

English Language Summary

Subject: Increase capital expenditure budget and reduce the budgeted capital expenditures approved by the Board

Regulation: Published pursuant to Article 4-15 of the Taiwan Stock Exchange's Operating Procedures for the Publication of Material Information by Listed Companies

Date of Events: 2016/01/29

Contents:

1. Date of the resolution by the board of directors or shareholders' meeting: 2016/01/29

2. Content of the investment plan:

The Board of the Company approved the amount of NT\$4.322 billion in capital expenditure budget and reduced the budgeted capital expenditures by NT\$1.404 billion according to the actual capital expenditures incurred.

3. Anticipated date of the investment: 2016/01/29

4. Source of funds: The cash generated from operating activities.

5. Concrete purpose/objective:

The capital expenditures will be mainly used to adjust, enhance and build the technology and production capacity of the Company.

6. Any other matters that need to be specified:

(1) The budget execution of the Company will be subject to customer demand and market situation, and the actual amount that the Company will pay will be subject to the execution progress and the vendor payment terms.

(2) On a consolidated basis, the fixed assets the Company acquired and paid for amounted of NT\$33.4 billion in 2015.

Item 2

AU Optronics Corp.

January 29, 2016

English Language Summary

Subject: The Company will participate in the cash capital increase of Konly Venture Corp.

Regulation: Published pursuant to Article 4-20 of the Taiwan Stock Exchange's Operating Procedures for the Publication of Material Information by Listed Companies

Date of Events: 2016/01/29

Contents:

1. Name and nature of the subject matter (if preferred shares, the terms and conditions of issuance shall also be indicated, e.g. dividend yield): Common stock of Konly Venture Corp.

2. Date of occurrence of the event: 2016/01/29

3. Volume, unit price, and total monetary amount of the transaction:

Expected transaction volume: To be decided.

Unit price: To be decided.

Expected total transaction amount: NTD 1,000,000 thousand;
could be capitalized gradually

Edgar Filing: AU OPTRONICS CORP - Form 6-K

Counterpart to the trade and its relationship to the Company (if the trading counterpart is a natural person and 4. furthermore is not an actual related party of the Company, the name of the trading counterpart is not required to be disclosed):

Konly Venture Corp., a subsidiary of AUO.

Where the counterpart to the trade is an actual related party, a public announcement shall also be made of the reason 5. for choosing the related party as trading counterpart and the identity of the previous owner (including its relationship with the company and the trading counterpart), price of transfer, and date of acquisition:N/A

Where a person who owned the property within the past five years has been an actual related person of the 6. company, a public announcement shall also include the dates and prices of acquisition and disposal by the related person and the person's relationship to the company at those times:N/A

Matters related to the creditor's rights currently being disposed of (including types of collateral of the disposed 7. creditor's rights; if the creditor's rights are creditor's rights toward a related person, the name of the related person and the book amount of the creditor's rights toward such related person currently being disposed of must also be announced):N/A

8. Anticipated profit or loss from the disposal (not applicable in cases of acquisition of

securities) (where originally deferred, the status or recognition shall be stated and explained):N/A

9. Terms of delivery or payment (including payment period and monetary amount), restrictive covenants in the contract, and other important stipulations:

Make the payment within the subscription period; N/A; N/A

10. The manner in which the current transaction was decided, the reference basis for the decision on price, and the decision-making department:

The Board resolved to participate in the cash capital increase of Konly Venture Corp.

11. Current cumulative volume, amount, and shareholding percentage of holdings of the security being traded (including the current trade) and status of any restriction of rights (e.g.pledges):

Current cumulative volume: To be decided;

Current cumulative amount: NTD 5,914,756 thousand;

Shareholding percentage of holdings of the security being traded: 100%

Status of any restriction of rights:N/A

12. Current ratio of long or short term securities investment (including the current trade) to the total assets and shareholder's equity as shown in the most recent financial statement and the operating capital as shown in the most recent financial statement:

Current ratio of securities investment (including the current trade) to the total assets in the most recent stand-alone financial statement: 1.58%

Current ratio of securities investment (including the current trade) to the shareholder's equity in the most recent consolidated financial statement:3.25%

Operating capital in the most recent stand-alone financial statement:NTD -25,103,366 thousand

13. Broker and broker's fee: N/A

14. Concrete purpose or use of the acquisition or disposal: Long-term investment

15. Net worth per share of the underlying securities acquired or disposed of: NTD 19.27

16. Do the directors have any objection to the present transaction?: No

17. Has the CPA issued an opinion on the unreasonableness of the price of the current transaction?: N/A

18. Any other matters that need to be specified: None