Edgar Filing: DOW CHEMICAL CO /DE/ - Form 4

DOW CHEN Form 4 August 17, 2	MICAL CO /DE/ 2017	,											
OMB APPROVAL												L	
	UNITED		SECURITIES AND EXCHANGE COMMISSI Washington, D.C. 20549						OMB Numbe	-	3235-		
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Securities Exchange Act 30(h) of the Investment Company Act of 1940							ange Act of 193 t of 1935 or Se	34,	burder respor	res: January 31, 2005 mated average len hours per onse 0.5			
1(b).													
(Print or Type]	Responses)												
DOW CHEMICAL CO /DE/ Symb				er Name an resh Solut			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First) ((Middle) 3. Date of Earliest Transaction					((Check	all appl	(icable)			
			(Month/Day/Year) 08/15/2017					DirectorX10% Owner Officer (give title Other (specifybelow)below)					
Filed(M				nendment, Date Original Ionth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
MIDLAND	9, MI 48674							Person	i by Mit		ле керо	rung	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	e Secu	irities .	Acquired, Dispos	ed of,	or Bene	eficially	Owned	1
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price			0) 5)	Beneficially Form Owned Direct		ect (D) (Instr. 4) ndirect		ct Benef ship	ficial
Common Stock, par value \$0.0001 per share	08/15/2017			P <u>(1)</u>	200	A	\$ 7 (2)	17,670,736	I		Throu wholl subsid	ly-owr	ned

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
I O	Director	10% Owner	Officer	Other				
DOW CHEMICAL CO /DE/ 2030 DOW CENTER MIDLAND, MI 48674		Х						
Signatures								
/s/ Amy E. Wilson, Corporate Secretary	08/17/2017							
**Signature of Reporting Person		Date						
Evaluation of Deenoneeeu								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchases pursuant to 10b5-1 plan. Reporting Person agrees to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased or sold at each separate price.
- (2) All trades occurred at a price of \$7.00.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 1,060 (3) 1,060 D Stock Options \$ 17.94 01/29/200801/29/2017 Common Stock 10,753 (3) 10,753 D Stock Options \$ 18.55 02/22/200702/22/2016 Common Stock 5,000 (3) 5,000 D Stock Options \$ 18.03 07/21/200607/21/2015 Common Stock 5,000 (3) 5,000 D

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Reporting Owners

Christy James A. 239 WASHINGTON STREET JERSEY CITY, NJ 07302

SVP, CRO of Provident Bank

Signatures

/s/ John F. Kuntz, Pursuant to Power of Attorney

**Signature of Reporting Person

Date

02/23/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock vest at a rate of 33.3% per year over a period ending February 19, 2018.
- (2) Reflects transaction not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (3) Stock options have fully vested.

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