Edgar Filing: CytoDyn Inc. - Form 4

| CytoDyn Inc. Form 4 June 03, 2016 | | | | | | | | | | | |
|---|---|--|--|--|-----------------------|---|--|--|---|-----|--|
| · | | | | | | | | | PPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | 3235-02 | 287 | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b). | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | Expires: January Estimated average burden hours per response | | |
| (Print or Type Respon | nses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Montgomery Alan Bruce | | | 2. Issuer Name and Ticker or Trading Symbol CytoDyn Inc. [CYDY] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | (Check all applicable)X_Director10% Owner | | | | | |
| 1111 MAIN STR | E 660 | 06/01/2016 | | | | Officer (give title below) Other (specify below) | | | | | |
| (Street) VANCOUVER, WA 98660 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) (| (State) | (Zip) | Tab | le I - Non-l | Derivativ | e Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | | |
| | ansaction Date th/Day/Year) | 2A. Deemo Execution any (Month/Da | Date, if | 3. Transactio Code (Instr. 8) Code V | Dispose (Instr. 3) | d (A) or d of (D) 4 and 5) (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature o Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report on | a separate line | for each cla | ass of sec | urities bene | Pers infor requ | ons who res mation con ired to resp ays a curre | or indirectly. spond to the collect tained in this form ond unless the fo ently valid OMB co | n are not rm | SEC 1474 (9-02) | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of | 8 |
|-------------|-------------|---------------------|--------------------|------------|--------------|-------------------------|------------------------|----|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | orDerivative | Expiration Date | Underlying Securities | D |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | S |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Acquired (A) | | | (] |

| | Derivative Security | | | | or Dispose (D) (Instr. 3, 4 and 5) | | | | | |
|---------------------------------------|------------------------|------------|------|---|---|-----|---------------------|--------------------|-----------------|-------------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Options (right to buy) | \$ 1.09 | 06/01/2016 | А | | 50,000 | | <u>(1)</u> | 06/01/2026 | Common Stock | 50,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Montgomery Alan Bruce 1111 MAIN STREET, SUITE 660 VANCOUVER, WA 98660 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Michael D. Mulholland, as attorney-in-fact | | 06/03/2 | 2016 | | | | |
| ** Signature of Reporting Person | | Dat | e | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options will vest in equal quarterly installments over the next year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.