Wernikoff Daniel A Form 4 May 31, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wernikoff Daniel A

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol INTUIT INC [INTU]

(Middle) (Last) (First)

3. Date of Earliest Transaction

Director

below)

10% Owner

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

C/O INTUIT INC., 2700 COAST

(Street)

05/29/2018

(Month/Day/Year)

X\_ Officer (give title Other (specify below)

(Check all applicable)

**AVENUE** 

EVP, Consumer Group

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

**MOUNTAIN VIEW, CA 94043** 

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Dispos					d, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onDisposed (Instr. 3,	of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/29/2018		M <u>(1)</u>	67,350	A	\$ 82.59	68,237 (2)	D	
Common Stock	05/29/2018		M(1)	90,945	A	\$ 107.25	159,182	D	
Common Stock	05/29/2018		M <u>(1)</u>	67,248	A	\$ 113.19	226,430	D	
Common Stock	05/29/2018		S <u>(1)</u>	81,653	D	\$ 195.5378 (3)	144,777	D	
Common Stock	05/29/2018		S <u>(1)</u>	67,507	D	\$ 196.5263	77,270	D	

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					<u>(4)</u>		
Common Stock	05/29/2018	S <u>(1)</u>	64,675	D	\$ 197.4202 (5)	12,595	D
Common Stock	05/29/2018	S(1)	11,708	D	\$ 198.0605 (6)	887	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 82.59	05/29/2018		M(1)	67,350	07/24/2017(7)	07/22/2022	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 107.25	05/29/2018		M <u>(1)</u>	90,945	<u>(8)</u>	07/22/2022	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 113.19	05/29/2018		M <u>(1)</u>	67,248	<u>(9)</u>	07/23/2023	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				

Wernikoff Daniel A C/O INTUIT INC. 2700 COAST AVENUE MOUNTAIN VIEW, CA 94043

EVP, Consumer Group

2 Reporting Owners

#### **Signatures**

/s/ Elizabeth McBride, by power-of-attorney

05/31/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction effected pursuant to a 10b5-1 trading plan adopted by the reporting person in December 2017.
- (2) Includes 99 shares acquired by the reporting person on 3/15/2018 through the Intuit Inc. Employee Stock Purchase Plan.
- This transaction was executed in multiple trades ranging from \$194.92 to \$195.90. The price reported above reflects the weighted average (3) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades ranging from \$195.92 to \$196.91. The price reported above reflects the weighted average (4) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades ranging from \$196.92 to \$197.91. The price reported above reflects the weighted average (5) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- This transaction was executed in multiple trades ranging from \$197.92 to \$198.25. The price reported above reflects the weighted average (6) sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (7) Represents final vesting date for this award granted 7/24/2014 for 67,350 stock options.
- (8) One third of the 96,296 options granted on 7/23/2015 vested on 7/23/2016 and thereafter 2.778% of the options vest on each monthly anniversary of that first vesting date.
- (9) One third of the 110,046 options granted on 7/21/2016 vested on 7/21/2017 and thereafter 2.778% of the options vest on each monthly anniversary of that first vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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