### Edgar Filing: FEDERAL AGRICULTURAL MORTGAGE CORP - Form 4

#### FEDERAL AGRICULTURAL MORTGAGE CORP

Form 4 April 02, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* JUNKINS LOWELL

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

FEDERAL AGRICULTURAL MORTGAGE CORP [AGM]

(Check all applicable)

C/O FARMER MAC, 1999 K

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

STREET NW, 4TH FLOOR

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

03/31/2015

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

WASHINGTON, DC 20006

| (City)                                   | (State) (Zij                         | Table l   | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |            |                              |              |  |  |   |  |  |
|--|--------------------------------------|---|--|------------|------------------------------|--------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8)   | (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
| Class C<br>Non-Voting<br>Common<br>Stock | 03/31/2015                           |   | A  | Amount 60  | (D)                          | \$ 28.19 (1) | 11,499.232   | D  |   |  |  |
| Class C<br>Non-Voting<br>Common<br>Stock | 04/01/2015                           |   | A  | 1,544      | A                            | \$ 0 (2)     | 13,043.232   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Title     | and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|--------------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Da | ate         | Amoun        | it of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)       | Underly      | ying     | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Securit      | ies      | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities |               |             | (Instr. 3    | 3 and 4) |             | Owne   |
|             | Security    |                     |                    |             | Acquired   |               |             |              |          |             | Follo  |
|             | -           |                     |                    |             | (A) or     |               |             |              |          |             | Repo   |
|             |             |                     |                    |             | Disposed   |               |             |              |          |             | Trans  |
|             |             |                     |                    |             | of (D)     |               |             |              |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3, |               |             |              |          |             |        |
|             |             |                     |                    |             | 4, and 5)  |               |             |              |          |             |        |
|             |             |                     |                    |             |            |               |             |              | A manust |             |        |
|             |             |                     |                    |             |            |               |             |              | Amount   |             |        |
|             |             |                     |                    |             |            | Date          | Expiration  |              | or<br>N  |             |        |
|             |             |                     |                    |             |            | Exercisable   | Date        | Title Number |          |             |        |
|             |             |                     |                    | C 1 W       | (A) (D)    |               |             |              | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)    |               |             |              | Shares   |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JUNKINS LOWELL C/O FARMER MAC 1999 K STREET NW, 4TH FLOOR WASHINGTON, DC 20006

## **Signatures**

Anjali Desai, as attorney-in-fact for Lowell
Junkins
04/02/2015

\*\*Signature of Reporting Person Date

X

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares were issued pursuant to the director's existing election to purchase, at market value, newly issued shares of Class C Non-Voting (1) Common Stock in lieu of receiving some or all of the director's quarterly retainer in cash. The market value is the closing price of the stock on March 31, 2015, the last business day of the quarter, as reported by the New York Stock Exchange.
- Grant of shares of restricted stock under the Federal Agricultural Mortgage Corporation's ("Farmer Mac") 2008 Omnibus Incentive Plan (2) for no consideration. All of the shares of restricted stock will vest on March 31, 2016 if the Reporting Person remains a director of Farmer Mac on that date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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