## Edgar Filing: Strycker Samara A - Form 4

Strycker Sam Form 4	ara A									
December 13	, 2017									
FORM		стате	SECUD	ITIES AI	ND EVC	UANCE	COMMISSION	л	PPROVAL	
<b>FURINI 4</b> UNITED STATES SE				hington,				Number:	3235-0287	
Check thi if no long subject to Section 10 Form 4 or Form 5 obligation	er <b>STATEN</b> 6. Filed put	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,							Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).							on			
(Print or Type R	lesponses)									
1. Name and Address of Reporting Person <u>*</u> Strycker Samara A			2. Issuer Name <b>and</b> Ticker or Trading Symbol NAVISTAR INTERNATIONAL CORP [NAV]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) ( INTERNATIO FION, 2701 NA		3. Date of (Month/Da 12/12/20	-	unsaction		Director X Officer (giv below) SVP & 0		6 Owner er (specify roller	
LISLE, IL 6	(Street) 4. If Ame Filed(Mon ISLE, IL 60532				-		<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>			
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecurities Ac	quired, Disposed (	of. or Beneficial	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Execution any	emed	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock				Code V	Amount	(D) Price	18,263	D		
Reminder: Repo	ort on a separate line	e for each c	lass of secur	rities benefi	cially owne	ed directly or	indirectly.			

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Shar
Employee Stock Option (right to buy)	\$ 27.67	12/12/2017		А	1,675 ( <u>1)</u>	02/11/2018	02/11/2022	Common Stock	1,6
Employee Stock Option (right to buy)	\$ 27.67	12/12/2017		А	1,396 (2)	02/11/2018	02/11/2022	Common Stock	1,3
Performance Rights	\$ 0 <u>(3)</u>	12/12/2017		А	1,274 ( <u>3)</u>	02/11/2018	02/11/2018(3)	Common Stock	1,2
Performance Rights	\$ 0 <u>(4)</u>	12/12/2017		А	1,062	02/11/2018	02/11/2018(4)	Common Stock	1,0

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
Strycker Samara A NAVISTAR INTERNATIONAL CORPORATE 2701 NAVISTAR DRIVE LISLE, IL 60532	ION		SVP & Corporate Controller			
Signatures						
Richard E. Bond Attorney in fact 12/13/202	17					
**Signature of Reporting Person Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On February 11, 2015, the reporting person was granted an option to purchase 5,584 shares of common stock subject to Navistar's satisfaction of certain EBITDA Margin performance conditions measured over a three year period. It was determined the performance conditions were partially met, resulting in the reporting person receiving 1,675 options. The options acquired continue to be subject to service vesting conditions and will fully vest and be exercisable on February 11, 2018.

(2) On February 11, 2015, the reporting person was granted an option to purchase 5,585 shares of common stock subject to Navistar's satisfaction of certain Revenue Growth performance conditions measured over a three year period. It was determined the performance conditions were partially met, resulting in the reporting person receiving 1,396 options. The options acquired continue to be subject to

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service vesting conditions and will fully vest and be exercisable on February 11, 2018.

On February 11, 2015, the reporting person was granted 2,123 performance units, with each unit representing the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 basis, subject to Navistar's satisfaction of certain EBIDTA Margin

(3) performance conditions measured over a three year period. It was determined the performance conditions were partially met, resulting in the reporting person receiving 1,274 units. The units acquired continue to be subject to service vesting conditions and will vest in full on February 11, 2018. The units expire upon payout, if any, of the award, and in any case no later than February 11, 2018.

On February 11, 2015, the reporting person was granted 2,123 performance units, with each unit representing the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 basis, subject to Navistar's satisfaction of certain Revenue Growth

(4) performance conditions measured over a three year period. It was determined the performance conditions were partially met, resulting in the reporting person receiving 1,062 units. The units acquired continue to be subject to service vesting conditions and will vest in full on February 11, 2018. The units expire upon payout, if any, of the award, and in any case no later than February 11, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.