Edgar Filing: Tarapchak Richard C - Form 4

Tarapchak F Form 4	Richard C										
March 18, 2	011										
FORM			GECU		C .	ND EV	CIL	NCE C	OMMISSION		PROVAL
Check th	UNITED	SIAIES				, D.C. 20			JMMISSION	OMB Number:	3235-0287
if no lon subject t Section Form 4 of Form 5	ger o STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								Expires:January 3 200Estimated average burden hours per response0	
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17(a) of the H	Public U	tility H	Iol	ding Co	npar	-	1935 or Section		
(Print or Type	Responses)										
1. Name and A Tarapchak	Address of Reporting Richard C	Person <u>*</u>	Symbol	TAR I	N	Ticker of			5. Relationship of l Issuer (Check	Reporting Pers	
(Last)	(First) (I	Middle)			-	ransaction			Director	10%	Owner
. ,	FIELD ROAD	,	(Month/I 03/16/2	Day/Yea		lunsuetion			XOfficer (give below)		r (specify
	(Street)		4. If Amo Filed(Mo			ate Origina r)	al		6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by Mo	ne Reporting Per	rson
WARREN	VILLE, IL 60555								Person		0
(City)	(State)	(Zip)	Tab	le I - No	on-I	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	Code (Instr.	8)	4. Securit nor Dispos (Instr. 3, Amount	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/16/2011			M	•	2,618	A	\$ 54.06	11,475	D	
Common Stock	03/16/2011			S		2,618	D	\$ 64.6051	8,857	D	
Premium Share Units <u>(1)</u>									1,704	D	
Common Stock									1,333.5131	Ι	Navistar 401(k) Plan

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	of Derivative Expiration Date Securities (Month/Day/Year) Acquired A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 54.06	03/16/2011		М	2,618	12/14/2010	12/11/2011	Common Stock	2,618

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Tarapchak Richard C 4201 WINFIELD ROAD WARRENVILLE, IL 60555			VP & Corporate Controller				
Signatures							

Curt A. Kramer, Attorney	
in fact	03/17/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Premium Share Units were acquired under Navistar's Executive Stock Ownerhip Program. Each Premium Share Unit represents one (1) share of Navistar Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.