

TUTTLE DONALD S III

Form 4

May 28, 2009

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
TUTTLE DONALD S III

2. Issuer Name **and** Ticker or Trading  
Symbol  
EASTERN CO [EML]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

112 BRIDGE STREET

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/26/2009

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

NAUGATUCK, CT 06770

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock <u>(1)</u>	05/26/2009		S	612 D \$ 13.4	67,388	D	
Common Stock <u>(2)</u>	05/26/2009		S	300 D \$ 13.335	67,088	D	
Common Stock <u>(3)</u>	05/26/2009		S	1,088 D \$ 13.3	66,000	D	
Common Stock <u>(4)</u>	05/27/2009		S	4,564 D \$ 13.5	61,436	D	
Common Stock <u>(5)</u>	05/27/2009		S	436 D \$ 13.8	61,000	D	
	05/27/2009		S	1,000 D \$ 13.84	60,000	D	

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Common  
Stock <sup>(6)</sup>

Common  
Stock

36,825 I

Co-trustee  
u/a  
10/27/98  
w/Donald  
S. Tuttle Jr.  
Revocable  
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Non-qualified stock options <u>(7)</u>	\$ 10.17	12/15/1999		A	0	12/15/1999 01/15/2010	Common Stock 18,750

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
TUTTLE DONALD S III 112 BRIDGE STREET NAUGATUCK, CT 06770	X

## Signatures

Donald S. Tuttle III, by Theresa P. Dews his  
attorney-in-fact

05/28/2009

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) May 26, 2009, 612 shares sold on the open market for \$13.40 per share.
- (2) May 26, 2009, 300 shares sold on the open market for \$13.335 per share.
- (3) May 26, 2009, 1,088 shares sold on the open market for \$13.30 per share.
- (4) May 27, 2009, 4,564 shares sold on the open market for \$13.50 per share.
- (5) May 27, 2009, 436 shares sold on the open market for \$13.80 per share.
- (6) May 27, 2009, 1,000 shares sold on the open market for \$13.84 per share.
- (7) The original option on 12/15/1999 was for 12,500 shares at \$15.25 per share. As a result of a 3-for-2 stock split effective 10/18/2006 this option is now an option for 18,750 shares at \$10.17 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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