Edgar Filing: QUINDLEN THOMAS M - Form 4

QUINDLEN	THOMAS N	1									
Form 4											
August 01, 2	2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB	3235-0287			
Check th	is box		Wa	shington,	D.C. 20	549			Number:		
if no longer							Expires:	January 31, 2005			
	subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF						NERSHIP OF	Estimated average			
Section 1 Form 4 o		SECURITIES							burden hours per		
Form 5		nursuant to	Section 1	6(a) of th	e Securit	ies F	vchange	e Act of 1934,	response	0.5	
obligatio	ns Section	-					-	1935 or Section	ı		
may cont See Instru	linue.			vestment	•	· ·			.1		
1(b).	uction				I.						
(Print or Type I	Responses)										
						Reporting Person(s) to					
QUINDLE	N THOMAS I	VI	Symbol		. 1			135001			
			Synchro	ony Finan	cial [SYI	-]		(Checl	k all applicable)	
(Last)	(First)	(Middle)		f Earliest Ti	ransaction						
				nth/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
C/O SYNCI			07/31/2	018				below)	below)	a (specify	
ROAD	L, 777 LONC	J KIDGE						S	ee remarks		
KOAD											
				endment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Month/Day/Year) Applicable Line) X Form filed						One Reporting Person					
STAMFOR	D, CT 06902							Form filed by M			
or non or	<i>D</i> , <i>C</i> 1 00902							Person			
(City)	(State)	(Zip)	Tab	e I - Non-E	Derivative S	Securi	ities Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction	Date 2A. Deer	ned	3.	4. Securit	ies Ac	quired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year) Execution Date, if			Transaction(A) or Disposed of (D)				Securities	Ownership	Indirect	
(Instr. 3)		any (Month/Day/Ye			Code (Instr. 3, 4 and 5) $(1 + 1)$				Beneficially Form: Direct Owned (D) or		
		(Monun/1	Jay/ (ear)	(Instr. 8)				Following	(D) or Indirect (I)	Ownership (Instr. 4)	
						(1)		Reported	(Instr. 4)		
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common	07/31/2018	1)		F	55,132	D	\$	116,842	D		
Stock		_			<u>(1)</u>	_	28.94				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	(Month/Day/Year) /e s l		Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
QUINDLEN THOMAS M C/O SYNCHRONY FINANCIAL 777 LONG RIDGE ROAD STAMFORD, CT 06902			See remarks					
Signatures								
/s/ Danielle Do, as attorney in fact	08/0	1/2018						
**Signature of Reporting Person	E	Date						
Explanation of Deenonace								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the number of shares of common stock automatically withheld by the Company to pay the tax liability of the Reporting Person in connection with the vesting of restricted stock units granted on July 31, 2014 in connection with the Company's initial public offering (the

(1) "Founders' Grants"). No investment decision was made by the Reporting Person in connection with the withholding. The Founders' Grants, which had a four-year cliff vesting period, were awarded to promote retention and alignment with our stockholders, and to recognize that GE executive supplementary long-term benefits would vest and no longer continue to accrue upon separation from GE.

Remarks:

Executive Vice President and Chief Executive Officer-Retail Card

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.