Edgar Filing: DOERR L JOHN - Form 4/A

| DOERR L | JOHN | | | | | | | | | |
|--------------------------------------|------------------------|------------------------|--|--------------------------|---------------|-----------------------------|--|-------------------------|--|--|
| Form 4/A | | | | | | | | | | |
| August 24, | 2018 | | | | | | | | | |
| FORM | И 4 | | | | ~~~ ~ ~ | | OMB A | PPROVAL | | |
| | UNITEL | | URITIES A Vashington | | | IGE COMMISSION | OMB Number: | 3235-0287 | | |
| Check t if no los | nger | | | | | Expires: | January 31, | | | |
| subject | - NIATH | MENT OF CHA | | | ICIAI | Estimated | 2005 average | | | |
| Section | | | SECUI | RITIES | | | burden hours per | | | |
| Form 4 Form 5 | | | 1(() 64 | с · | · - | 1 4 6 1024 | response | . 0.5 | | |
| obligati | | | | | | change Act of 1934, | | | | |
| may co | ntinue. Section 17 | 30(h) of the Public | • | • | | Act of 1935 or Sectio | n | | | |
| <i>See</i> Inst 1(b). | truction | 50(II) 01 the | Investmen | t Compan | ly Act | 01 1940 | | | | |
| (Print or Type | Responses) | | | | | | | | | |
| | | - * | | | | | | | | |
| 1. Name and | Iconor | | | | Reporting Per | rson(s) to | | | | |
| DOERR L JOHN S | | | | | | 155401 | | | | |
| | | AMI | RIS, INC. | [AMKS] | | (Check all applicable) | | | | |
| | | | Date of Earliest Transaction | | | | | | | |
| | | | (Month/Day/Year) 08/17/2018 | | | X Director Officer (give | X10 ^o | % Owner er (specify | | |
| | SUITE 100 | 110LLI3 08/1 | 72018 | | | below) | below) | | | |
| (Street) 4. If A Filed(N 08/21 | | | If Amendment, Date Original | | | 6. Individual or Jo | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Month/Day/Yea | ur) | | Applicable Line) | Applicable Line) | | | |
| | | | /2018 | | | | X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| EMERYV | ILLE, CA 94608 | | | | | Person | fore than one R | eporting | | |
| (City) | (State) | (Zip) T | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
| 1.Title of | 2. Transaction Dat | e 2A. Deemed | 3. | 4. Securiti | | 5. Amount of 6 | . Ownership | 7. Nature of | | |
| Security | (Month/Day/Year) | | 1 () | | | | orm: Direct | Indirect | | |
| (Instr. 3) | | any (Month/Day/Year | Code) (Instr. 8) | Disposed (Instr. 3, 4 | | • | D) or Indirect | Beneficial Ownership | | |
| | | (Wohth Duy) I cu |) (1131.0) | (1150.5, | and 5) | | Instr. 4) | (Instr. 4) | | |
| | | | | | (A) | Reported | | | | |
| | | | | | or | Transaction(s) | | | | |
| | | | Code V | Amount | (D) F | (Instr. 3 and 4) | | | | |
| Reminder: Re | eport on a separate li | ne for each class of s | ecurities bene | ficially own | ned dire | ctly or indirectly. | | | | |
| | 1 | | | - | | o respond to the collec | tion of | SEC 1474 | | |

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amou |
|-------------|-------------|---------------------|--------------------|-----------------------|--------------|-------------------------|-------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | TransactionDerivative | | Expiration Date | Underlying Securi |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) |

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| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. | 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | |
|------------------------------|------------------------------------|------------|------------------|---------|----|--|-----|---------------------|-----------------------|-----------------|--------------------|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Ame Nun Shai |
| Warrant (Right to Buy) | \$ 7.52 | 08/17/2018 | | А | | 4,877,386 | | 02/17/2019 | 05/17/2020 <u>(1)</u> | Common Stock | 4,8 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| DOERR L JOHN C/O AMYRIS, INC. 5885 HOLLIS STREET, SUITE 100 EMERYVILLE, CA 94608 | Х | Х | | | | | |
| Signatures | | | | | | | |
| /s/ L. John Doerr by Stephen Dobson, Attorney-In-Fact | | | 08/24/2018 | | | | |
| **Signature of Reporting Person | | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Due to an unintentional error, the expiration date for the warrant was incorrectly listed as November 17, 2019 in a Form 4 filed on August 21, 2018 (the "Prior Form 4"). The warrant expires on May 17, 2020.

The warrant was issued pursuant to that certain Warrant Exercise Agreement, dated August 17, 2018, between the Issuer and Foris
(2) Ventures, LLC ("Foris") in exchange for Foris exercising a common stock purchase warrant issued on May 11, 2017 for cash and surrendering a separate common stock purchase warrant issued on May 11, 2017 for cancellation, as described in the Prior Form 4.

(3) The Reporting Person indirectly holds all of the membership interests in Foris. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.