#### **ROCKEL DOUGLAS WAYNE**

Form 4 May 29, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

**SECURITIES** 

1(b).

Common

Stock

05/24/2018

(Print or Type Responses)

1. Name and Address of Reporting Person ** ROCKEL DOUGLAS WAYNE			Symbol			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			SAIA INC [SAIA]						
(Last)	(First) (	Middle)		f Earliest T	ransaction				
				Day/Year)		Director		Owner	
11465 JOH SUITE 400	INS CREEK PAR )	KWAY,	05/24/2	018		Officer (give to below)	below)	er (specify	
(Street)			4. If Amo	endment, D	ate Original	6. Individual or Joint/Group Filing(Check			
JOHNS CF	REEK, GA 30097		Filed(Mo	nth/Day/Yea	r)	Applicable Line) _X_ Form filed by Or Form filed by Mo Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative Securities Acqu	iired, Disposed of,	or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deem	ied	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution	Date, if	Transactio	omr Disposed of (D)	Securities	Ownership	Indirect	
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial	
		(Month/D	ay/Year)	(Instr. 8)		Owned	Direct (D)	Ownership	
						Following	or Indirect	(Instr. 4)	
					(A)	Reported	(I)		
					or	Transaction(s)	(Instr. 4)		

Code V Amount (D)

2,093

S

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

(Instr. 3 and 4)

D

6,585

Price

80.8687

\$

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ROCKEL DOUGLAS WAYNE - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	ecurities	8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>					<u>(1)</u>	<u>(1)</u>	Common Stock	45,075	

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

8. Price of Derivative Security (Instr. 5)

Director 10% Owner Officer Other

ROCKEL DOUGLAS WAYNE 11465 JOHNS CREEK PARKWAY, SUITE 400 JOHNS CREEK, GA 30097

# **Signatures**

/s/ Stephanie R. Maschmeier

05/29/2018

\*\*Signature of Reporting

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of phantom stock become payable in the Company's common stock upon reporting person's termination of service as a Director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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