Edgar Filing: Ultragenyx Pharmaceutical Inc. - Form 4

Ultragenyx Pharmaceutical Inc. Form 4 November 17, 2016

November 1	7, 2016												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB APPROVAL				
									OMB Number:	3235-0287			
Check this box if no longer								Expires:	January 31,				
subject to Section 1	subject to Section 16. SECURITIES Form 4 or							Estimated average burden hours per response 0.5					
obligatio may com <i>See</i> Instr 1(b).	tinue. Section 17	(a) of the		tility Ho	ld	ing Con	npan	y Act of	f 1935 or Section	n			
(Print or Type l	Responses)												
KAKKIS EMIL D Syr			Symbol			Ticker or		-	5. Relationship of Reporting Person(s) to Issuer				
	Ultragenyx Pharmaceutical Inc. [RARE]						(Check all applicable)						
(Month				Earliest Tay/Year)	Γra	ansaction			Director10% Owner Officer (give titleOther (specify below) below)				
C/O ULTRA PHARMAC LEVERON	CEUTICAL INC	5., 60	11/15/20	016					Pres	sident & CEO			
				ndment, Date Original nth/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City)	(State)	(Zip)	Tabl	e I - Non-	D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)		2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)				4. Securi r(A) or Di (Instr. 3,	ties A ispose 4 and (A)	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common				Code V	V	Amount	or (D)	Price \$	(Instr. 3 and 4)				
Common Stock	11/15/2016			S <u>(1)</u>		1,414	D	79.39 (2) \$	465,733	D			
Common Stock	11/15/2016			S <u>(1)</u>		6,451	D	80.64 (3)	459,282	D			
Common Stock	11/15/2016			S <u>(1)</u>		7,755	D	\$ 81.55 (4)	451,527	D			

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Common Stock	11/15/2	016	S <u>(1)</u>	4,180	D 8	§ 32.36	447,347	D				
Common Stock	11/15/2	016	S <u>(1)</u>	200	D 8	5 33.01 ₆₎	447,147	D				
Common Stock					-		2,552,241	Ι		Kak and Sor Liv Tru Dat	Jenny iano ing st, ed e 18,	
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)												
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	of	er Ex (M ttive ties red sed 3,	Date Exercisable a piration Date Ionth/Day/Year)	1	7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (te Expira ercisable Date	tion ,	Fitle	Amount or Number of Shares		
Reporting Owners												
	Reporting Owner Name / Address				10%	Relat Owner	ionships Officer	Ot	her			
KAKKIS EMIL D C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949							President & CEO					

Signatures

/s/ Shalini Sharp by power of attorney for Emil D. Kakkis, M.D., Ph.D.

Date

11/17/2016

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

**Signature of Reporting Person

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a trading plan adopted by the Reporting Person pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$78.97 to \$79.74 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$79.97 to \$80.955 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(3) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$81.00 to \$81.98 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(4) The reporting reason undertakes to provide to the issuer, any security holder of the issuer, o

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$82.00 to \$82.95 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(5) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$83.00 to \$83.01 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(6) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.