## Edgar Filing: BRIGHT HORIZONS FAMILY SOLUTIONS INC. - Form 4

BRIGHT HORIZONS FAMILY SOLUTIONS INC. Form 4 November 15, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BROWN ROGER H** Issuer Symbol BRIGHT HORIZONS FAMILY (Check all applicable) SOLUTIONS INC. [BFAM] Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) C/O BRIGHT HORIZONS FAMILY 11/10/2016 SOLUTIONS INC, 200 TALCOTT **AVENUE SOUTH** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WATERTOWN, MA 02472 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of 6. Transaction(A) or Disposed of (D) Securities Ownership Indirect Security (Month/Day/Year) Execution Date, if (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial anv (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Following (Instr. 4) Indirect (I) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V (D) Price Amount Common 11/10/2016  $M^{(1)}$ 2.238 \$12 4,473 D A Stock Common 11/10/2016  $M^{(1)}$ 7,744 Α 12.217 D 14 54 Stock Common 11/10/2016 S<sup>(1)</sup> 9,982 \$68 D D 2,235 Stock Common 11/10/2016 **M**<sup>(1)</sup> 15,334 Α Ι 24,604 By Spouse Stock

S<sup>(1)</sup>

2,018

D

\$68

22,586

Ι

11/10/2016

By Spouse

Common Stock								
Common Stock	11/11/2016	S <u>(1)</u>	12,400	D	\$ 70.01	10,186	I	By Spouse
Common Stock	11/11/2016	S <u>(1)</u>	916	D	\$ 72 (3)	9,270	Ι	By Spouse
Common Stock	11/14/2016	M <u>(1)</u>	12,124	A	\$ 14.54	21,394	Ι	By Spouse
Common Stock	11/14/2016	S <u>(1)</u>	12,124	D	\$ 72.04 (4)	9,270	I	By Spouse
Common Stock						130,838	I	By Linda A. Mason Trust
Common Stock						1,226	I	By Roger H. Brown Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 14.54	11/10/2016		M <u>(1)</u>		7,744	(5)	09/02/2018	Common Stock	7,744
Option to Purchase Common Stock	\$ 12	11/10/2016		M <u>(1)</u>		2,238	(5)	09/02/2018	Common Stock	2,238

Option to Purchase Common Stock	\$ 14.54	11/10/2016	M <u>(1)</u>	2,018	<u>(5)</u>	09/02/2018	Common Stock	2,018
Option to Purchase Common Stock	\$ 14.54	11/11/2016	M <u>(1)</u>	13,316	<u>(5)</u>	09/02/2018	Common Stock	13,316
Option to Purchase Common Stock	\$ 14.54	11/14/2016	M <u>(1)</u>	12,124	(5)	09/02/2018	Common Stock	12,124

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BROWN ROGER H C/O BRIGHT HORIZONS FAMILY SOLUTIONS INC 200 TALCOTT AVENUE SOUTH WATERTOWN, MA 02472							
Signatures							
/s/ John Casagrande, attorney-in-fact for Roger Brown		.016					
*Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These trades were made pursuant to a Rule 10b5-1 trading plan.
- This transaction was executed in multiple trades at prices ranging from \$70.00 to \$70.08. The price reported above reflects the weighted(2) average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$72.00 to \$72.01 The price reported above reflects the weighted (3) average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

This transaction was executed in multiple trades at prices ranging from \$72.00 to \$72.31. The price reported above reflects the weighted(4) average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

(5) The option to purchase shares is fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.