

Batey Alan S.  
Form 4  
February 12, 2019

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Batey Alan S.

2. Issuer Name and Ticker or Trading Symbol  
General Motors Co [GM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
300 RENAISSANCE  
CENTER, M/C: 482-C23-D24  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
02/10/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Executive Vice President

DETROIT, MI 48265-3000

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Stock <u>(1)</u>         | 02/10/2019                           |  | A                              |   | 121,312   | A  | \$ 0                              |
| Common Stock                    | 02/10/2019                           |  | F                              |   | 51,046  | D  | \$ 38.7                           |
| Common Stock <u>(2)</u>         | 02/10/2019                           |  | M                              |   | 8,120   | A  | \$ 0                              |
| Common Stock                    | 02/10/2019                           |  | F                              |   | 2,181   | D  | \$ 38.7                           |
| Common Stock <u>(3)</u>         | 02/11/2019                           |  | S                              |   | 70,266  | D  | \$ 38.39                          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Pr Deriv Secur (Instr |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                    | Amount or Number of Shares |
| Restricted Stock Units <sup>(5)</sup>      | \$ 0   | 02/10/2019                           |  | M                              | 8,120   | <u>(6)</u>   | <u>(6)</u>  | Common Stock             | 8,120                      |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| Batey Alan S.<br>300 RENAISSANCE CENTER<br>M/C: 482-C23-D24<br>DETROIT, MI 48265-3000 |               |           | Executive Vice President |       |

## Signatures

/s/ Tia Y. Turk, Attorney-In-Fact for Mr. Batey  
 \*\*Signature of Reporting Person  
 02/12/2019  
 Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 10, 2016, the Reporting Person received a grant of Performance Stock Units ("PSUs") under the Company's 2014 Long-Term Incentive Plan (the "2014 LTIP"). Under the grant, the PSUs could be earned based on the achievement of certain financial targets. The PSUs vested on February 10, 2019, and were awarded in shares of Common Stock.
- (2) The Common Stock reported in this item was issued and delivered upon the vesting of a grant of Restricted Stock Units ("RSUs") awarded to the Reporting Person on February 10, 2016, under the Company's 2014 LTIP.

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- (3) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

The price in Column 4 is the weighted average selling price of the shares. The shares were sold in multiple transactions at prices from

- (4) \$38.28 to \$38.57, inclusive. The Reporting Person undertakes to provide to the SEC, the Company and any security holder, upon request, full information regarding the number of shares sold at each price point within the ranges set forth in this footnote.

The RSUs were awarded on February 10, 2016, under the 2014 LTIP. One-third of the RSUs vested on February 10, 2017, February 10,

- (5) 2018, and February 10, 2019, respectively. Each RSU represents a right to receive one share of the Company's Common Stock upon settlement.

- (6) The RSUs do not have a conversion or exercise price, or a date on which they are exercisable or expire.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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