#### BRISTOL MYERS SQUIBB CO

Form 4 April 03, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

10% Owner

Estimated average

**OMB APPROVAL** 

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* JUDGE ANN POWELL

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**BRISTOL MYERS SQUIBB CO** [BMY]

(Check all applicable)

SVP, Global Human Resources

(Last) (First) (Middle)

(Month/Day/Year) 04/01/2015

Other (specify X\_ Officer (give title below)

**BRISTOL-MYERS SQUIBB** COMPANY, 345 PARK AVENUE

(Street)

(State)

(Zip)

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

Director

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

NEW YORK, NY 10154

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|   |            |        |   |  | - · · · · · · · · · · · · · · · · · · · |  |
|---|------------|--------|---|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3)    | any        |        | 4. Securities Acquired ion(A) or Disposed of (D (Instr. 3, 4 and 5) |  | (D) or Benefi<br>Indirect (I) Owner     |  |
| C.                                      |            | Code V | (A)<br>or<br>/ Amount (D) Price                                     | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |  |
| Common<br>Stock,<br>\$0.10 par<br>value | 04/01/2015 | M      | 1,554 A \$0   | 9,047  | D                                       |  |
| Common<br>Stock,<br>\$0.10 par<br>value | 04/01/2015 | F      | 700 <u>(1)</u> D \$ 63.5  | 6 8,347  | D                                       |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number on Derivative Securities Acquired (A) or | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and A Underlying S (Instr. 3 and | Securities                             |
|---|---|---|---|---|--|--|-----------------|---|--|
|   | Security  |   |   |   | Disposed of (D) (Instr. 3, 4, and 5)               |  |                 |   |  |
|   |   |   |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration Date | Title                                     | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock<br>Units                        | <u>(2)</u>  | 04/01/2015                              |   | M                                       | 1,554  | (3)  | 04/01/2017(3)   | Common<br>Stock,<br>\$0.10 par<br>value   | 1,554                                  |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JUDGE ANN POWELL BRISTOL-MYERS SQUIBB COMPANY 345 PARK AVENUE NEW YORK, NY 10154

SVP, Global Human Resources

### **Signatures**

/s/ Robert J. Wollin, attorney-in-fact for Ann Powell
Judge
04/03/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for payment of taxes upon vesting of restricted stock units.
- (2) Each restricted stock unit converts into one share of common stock upon vesting.
- (3) Represents vesting of one-quarter of restricted stock units granted on 4/1/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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