#### BRISTOL MYERS SQUIBB CO

Form 4

March 04, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Moed Samuel J

2. Issuer Name and Ticker or Trading Symbol

Issuer

**BRISTOL MYERS SQUIBB CO** 

(Check all applicable)

[BMY]

(First) (Last) (Middle) 3. Date of Earliest Transaction

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

5. Relationship of Reporting Person(s) to

(Month/Day/Year) 03/02/2015

**BRISTOL-MYERS SQUIBB** COMPANY, 345 PARK AVENUE

SVP, Strat Plan & Analysis

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10154

(City)	(State)	(Zip) Tab	le I - Non-	-Derivative Sec	curitie	s Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	,	
Common Stock, \$0.10 par value	03/02/2015		M	8,249.383 (1)	A	\$ 0	43,859.843	D	
Common Stock, \$0.10 par value	03/02/2015		F	4,279 (2)	D	\$ 62.3	39,580.843	D	
Common Stock, \$0.10 par							300	I	By Son #1 (3)

### Edgar Filing: BRISTOL MYERS SQUIBB CO - Form 4

value

Common Stock, \$0.10 par value

By Son 300 I #2

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Derivative TransactionSecurities Acquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4, and 5)			6. Date Exercisable and Expiration Date (Month/Day/Year)		
	Security						Date Exercisable	Expiration Date	Title
Performance Shares	<u>(4)</u>	03/02/2015		Code V	(A) 3,157.787 (5)	(D)	<u>(4)</u>	03/31/2015(4)	Co S \$0.
Performance Shares	<u>(6)</u>	03/02/2015		J	218.0537 (7)		<u>(6)</u>	03/31/2015(6)	Co S \$0.
Performance Shares	<u>(4)</u>	03/02/2015		M		8,249.383 (1)	<u>(4)</u>	03/31/2015(4)	Co S \$0.
Performance Shares	(8)	03/02/2015		A	3,551.374 (9)		<u>(8)</u>	03/31/2016(8)	Co S \$0.
Performance Shares	<u>(10)</u>	03/02/2015		J	163.0504 (11)		(10)	03/31/2016(10)	Co S \$0.
Performance Shares	(12)	03/02/2015		A	8,132.134 (13)		(12)	03/10/2017(12)	Co S \$0.

#### Edgar Filing: BRISTOL MYERS SQUIBB CO - Form 4

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Moed Samuel J BRISTOL-MYERS SQUIBB COMPANY 345 PARK AVENUE NEW YORK, NY 10154

SVP, Strat Plan & Analysis

## **Signatures**

/s/ Robert J. Wollin, attorney-in-fact for Samuel J. Moed

03/04/2015

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents distribution of performance shares earned under the 2012-2014 Long-Term Performance Award.
- (2) Shares withheld for payment of taxes upon vesting of awards.
- (3) Shares held in custodial accounts for the benefit of Son #1 under the Uniform Transfer to Minors Act.
- (4) Each performance share converts into one share of common stock upon distribution in the first quarter of 2015.
- (5) Represents performance shares earned under the 2012-2014 Long-Term Performance Award.
- (6) Each dividend equivalent converts into one share of common stock upon distribution in the first quarter of 2015.
- (7) Represents dividend equivalents earned under the 2012-2014 Long-Term Performance Award.
- (8) Each performance share converts into one share of common stock upon distribution in the first quarter of 2016.
- (9) Represents performance shares earned under the 2013-2015 Long-Term Performance Award.
- (10) Each dividend equivalent converts into one share of common stock upon distribution in the first quarter of 2016.
- (11) Represents dividend equivalents earned under the 2013-2015 Long-Term Performance Award.
- (12) Each performance share converts into one share of common stock upon distribution in the first quarter of 2017, subject to a Total Shareholder Return modifier.
- (13) Represents performance shares earned under the 2014-2016 Long-Term Performance Award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3