

HALOZYME THERAPEUTICS INC

Form 4

December 04, 2007

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Lim Jonathan E

(Last) (First) (Middle)

C/O HALOZYME
THERAPEUTICS, INC., 11388
SORRENTO VALLEY ROAD

(Street)

SAN DIEGO, CA 92121

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
HALOZYME THERAPEUTICS
INC [HALO]

3. Date of Earliest Transaction
(Month/Day/Year)
11/30/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President, CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock	11/30/2007		M ⁽¹⁾		20,000	A	\$ 0.39	720,000	D
Common Stock	11/30/2007		S ⁽¹⁾		2,568	D	\$ 8.31	717,432	D
Common Stock	11/30/2007		S ⁽¹⁾		2,132	D	\$ 8.3	715,300	D
Common Stock	11/30/2007		S ⁽¹⁾		100	D	\$ 8.29	715,200	D
	11/30/2007		S ⁽¹⁾		400	D		714,800	D

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Common Stock					\$ 8.27		
Common Stock	11/30/2007	S ⁽¹⁾	300	D	\$ 8.26	714,500	D
Common Stock	11/30/2007	S ⁽¹⁾	5,100	D	\$ 8.25	709,400	D
Common Stock	11/30/2007	S ⁽¹⁾	1,600	D	\$ 8.23	707,800	D
Common Stock	11/30/2007	S ⁽¹⁾	3,360	D	\$ 8.21	704,440	D
Common Stock	11/30/2007	S ⁽¹⁾	1,440	D	\$ 8.2	703,000	D
Common Stock	11/30/2007	S ⁽¹⁾	298	D	\$ 8.19	702,702	D
Common Stock	11/30/2007	S ⁽¹⁾	1,200	D	\$ 8.18	701,502	D
Common Stock	11/30/2007	S ⁽¹⁾	602	D	\$ 8.17	700,900	D
Common Stock	11/30/2007	S ⁽¹⁾	800	D	\$ 8.16	700,100	D
Common Stock	11/30/2007	S ⁽¹⁾	100	D	\$ 8.06	700,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Option to Purchase	\$ 0.39	11/30/2007		M ⁽¹⁾	20,000	11/11/2003 11/11/2013	Common Stock 20,000

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lim Jonathan E C/O HALOZYME THERAPEUTICS, INC. 11388 SORRENTO VALLEY ROAD SAN DIEGO, CA 92121	X		President, CEO	

Signatures

James E. Cartoni, Attorney-in-fact for Jonathan E. Lim	12/04/2007
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__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased and sold, as applicable, pursuant to 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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