

Boettger Bryan L
Form 3
May 26, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Boettger Bryan L
(Last) (First) (Middle)

1415 WEST 22ND
STREET,Â SUITE 1100

(Street)

OAK BROOK,Â ILÂ 60523

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
05/19/2011

3. Issuer Name **and** Ticker or Trading Symbol
FEDERAL SIGNAL CORP /DE/ [fss]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President-Public Safety Sys.

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

9,484 ⁽¹⁾

D

Â

Common Stock

1.886

I

held in 401(k) plan

Common Stock

857.08

I

* ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect
Beneficial

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
Employee Stock Option (right-to-buy)	Â (3)	02/06/2012	Common Stock	1,750	\$ 23.21	D	Â
Employee Stock Option (right-to-buy)	Â (3)	04/17/2013	Common Stock	1,750	\$ 16.02	D	Â
Employee Stock Option (right-to-buy)	Â (3)	02/12/2014	Common Stock	1,750	\$ 18.89	D	Â
Employee Stock Option (right-to-buy)	Â (3)	02/10/2015	Common Stock	9,000	\$ 16.01	D	Â
Employee Stock Option (right-to-buy)	Â (3)	02/08/2016	Common Stock	7,500	\$ 16.94	D	Â
Employee Stock Option (right-to-buy)	Â (3)	02/26/2017	Common Stock	6,100	\$ 16.1	D	Â
Employee Stock Option (right-to-buy)	Â (3)	02/22/2018	Common Stock	19,300	\$ 10.59	D	Â
Employee Stock Option (right-to-buy)	Â (4)	02/20/2019	Common Stock	9,800	\$ 6.68	D	Â
Employee Stock Option (right-to-buy)	Â (5)	04/26/2020	Common Stock	9,300	\$ 10.04	D	Â
Employee Stock Option (right-to-buy)	Â (6)	05/04/2021	Common Stock	13,055	\$ 6.52	D	Â
Performance Rights	Â (7)	12/31/2013	Common Stock	6,258	\$ (7)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Boettger Bryan L 1415 WEST 22ND STREET SUITE 1100 OAK BROOK, IL 60523	Â	Â	Â President-Public Safety Sys.	Â

Signatures

Jennifer L. Sherman, attorney-in-fact for Bryan L.
Boettger

05/26/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares are subject to restrictions and forfeiture under Company Stock Benefit Plan.
- (2) Shares held in Savings Restoration Plan (formerly Rabbi Trust Plan).
- (3) Option is fully vested and exercisable as of the date hereof.
- (4) 6,533 options are vested and exercisable; the remaining 3,267 options will become exercisable on 2/20/2012.
- (5) 3,100 options are vested and exercisable; 3,100 options will become exercisable on 4/26/2012 and the remaining 3,100 options will become exercisable on 4/26/2013.
- (6) 4,352 options will become exercisable on 5/4/2012, 4,351 options will become exercisable on 5/4/2013 and the remaining 5,352 options will become exercisable on 5/4/2014.

Each performance share represents a contingent right to receive up to 2 shares of the Company's common stock based on a 1 year

- (7) performance metric (and additional 2 year vesting period). The number of shares to be received will depend on the Company's earnings per share (EPS) for the 1 year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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