

GENERAL ELECTRIC CO
Form 3
February 20, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Å Zucker Jeffrey A
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
02/09/2007

3. Issuer Name and Ticker or Trading Symbol
GENERAL ELECTRIC CO [GE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

GENERAL ELECTRIC COMPANY, Å 3135 EASTON TURNPIKE

(Street)

FAIRFIELD, Å CT Å 06828

(City) (State) (Zip)

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)
President & CEO, NBC Universal

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 106,803 | D | Å |
| Common Stock | 29,306 | I | by 401(k) |
| Common Stock | 40 | I | by family |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Phantom Stock Units | Â <u>(1)</u> | Â <u>(1)</u> | Common Stock | 11,339 | \$ <u>(2)</u> | D | Â |
| Restricted Stock Units | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 18,750 | \$ <u>(2)</u> | D | Â |
| Restricted Stock Units | Â <u>(4)</u> | Â <u>(4)</u> | Common Stock | 48,669 | \$ <u>(2)</u> | D | Â |
| Restricted Stock Units | Â <u>(5)</u> | Â <u>(5)</u> | Common Stock | 237,500 | \$ <u>(2)</u> | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 09/12/2000 | 09/12/2007 | Common Stock | 22,500 | \$ 22.08 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 09/11/2001 | 09/11/2008 | Common Stock | 22,500 | \$ 26.42 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 09/10/2002 | 09/10/2009 | Common Stock | 30,000 | \$ 39.73 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 09/22/2003 | 09/22/2010 | Common Stock | 5,000 | \$ 57.31 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 09/22/2003 | 09/22/2010 | Common Stock | 20,000 | \$ 57.31 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 12/14/2003 | 12/14/2010 | Common Stock | 30,000 | \$ 51.44 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 07/26/2004 | 07/26/2011 | Common Stock | 40,000 | \$ 43.75 | D | Â |
| Employee Stock Options (right to buy) <u>(6)</u> | 09/26/2004 | 09/26/2011 | Common Stock | 20,000 | \$ 35.48 | D | Â |
| Employee Stock Options (right to buy) <u>(7)</u> | 09/13/2005 | 09/13/2012 | Common Stock | 60,000 | \$ 27.05 | D | Â |
| Employee Stock Options (right to buy) <u>(7)</u> | 09/12/2006 | 09/12/2013 | Common Stock | 48,000 | \$ 31.53 | D | Â |
| Employee Stock Options (right to buy) <u>(7)</u> | 09/17/2007 | 09/17/2014 | Common Stock | 60,000 | \$ 34.22 | D | Â |
| Employee Stock Options (right to buy) <u>(7)</u> | 09/16/2008 | 09/16/2015 | Common Stock | 60,000 | \$ 34.47 | D | Â |
| Employee Stock Options (right to buy) <u>(7)</u> | 09/08/2009 | 09/08/2016 | Common Stock | 50,000 | \$ 34.01 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | Other |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | |
| Zucker Jeffrey A GENERAL ELECTRIC COMPANY 3135 EASTON TURNPIKE FAIRFIELD, CT 06828 | Â | Â | Â President & CEO, NBC Universal | Â |

Signatures

Eliza W. Fraser on behalf of Jeffrey Zucker 02/20/2007

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reallocable to other investment media. Payable after termination of employment.
- (2) 1-for-1
- (3) 25,000 units granted 09/10/01; Vesting schedule :25% on third anniversary; 25% on seventh anniversary; 50% upon retirement.
- (4) 10,667 units granted 09/12/03; 13,334 units granted 09/17/04; 13,334 units granted 09/16/05; 16,667 units granted on 09/08/06. Vesting schedule : 50% on third anniversary; 50% on fifth anniversary.
- (5) 50,000 units granted 09/13/02; 150,000 units granted 12/11/03; 50,000 units granted 2/08/07. Vesting schedule : 25% on third anniversary; 25% on fifth anniversary; 25% on tenth anniversary; 25% upon retirement.
- (6) The options become exercisable in two equal installments of 50% each, with 50% on the "Date Exercisable" shown to the right, and another 50% two years thereafter.
- (7) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% each year thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.