Edgar Filing: Abraham Chad R - Form 4

| Abraham Chad R Form 4 Form 4 Section 16. OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL OMB APPROVAL Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, otion 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). Stimated average burden hours per response 0.5 | | | | | | | | | | | |
|--|---|--|----------|--|--|--------|-------------|---|--|---|--|
| (Print or Type | Responses) | | | | | | | | | | |
| Abraham Chad R Symbol | | | | r Name and JAFFRA | | | - | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | te of Earliest Transaction th/Day/Year) 5/2013 | | | | Director 10% Owner Officer (give title Other (specify below) Global Co-Head IB and Cap Mkts | | | |
| | | | | f Amendment, Date Original d(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | e Secu | rities Aco | quired, Disposed | of, or Benefic | ially Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) Code V | 4. Securi on(A) or Di (Instr. 3, Amount | ispose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 02/15/2013 | | | F | 1,401 | D | \$ 41.01 | 34,803 | D | | |
| Common Stock | 02/15/2013 | | | А | 7,819 | А | \$0 | 42,622 | D | | |
| Common Stock | 02/16/2013 | | | F | 3,042 | D | \$ 41.01 | 39,580 | D | | |
| Common Stock | | | | | | | | 3,159 | Ι | By PJC Retirement Plan (1) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, | 3 | Date | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|--|---------------------|--------------------|------------------------|--|---|---|
| | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|--------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Abraham Chad R 800 NICOLLET MALL MINNEAPOLIS, MN 55402 | | | Global Co-Head IB and Cap Mkts | | | | |
| Signatures | | | | | | | |
| /s/ John W. Goalan for Chad P | | | | | | | |

/s/ John W. Geelan for Chad R. Abraham

**Signature of Reporting Person

02/20/2013

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Based on a plan report dated February 19, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.