

BRISTOW PETER M
Form 4
December 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BRISTOW PETER M

2. Issuer Name **and** Ticker or Trading
Symbol

FIRST CITIZENS BANCSHARES
INC /DE/ [FCNCA]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

4300 SIX FORKS ROAD

(Street)

RALEIGH, NC 27609

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
12/17/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below)
President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common				(A) or (D)	Price		
			Code	V	Amount		
						6,737	D
Class A Common	12/17/2018		G	V	29,000 (1)	D	\$ 0
						301,825	I
							By Spouse
Class A Common	12/17/2018		G	V	14,500	A	\$ 0
						14,500	I
							As trustee for Claire Holding Bristow 2018 Irrevocable Family Trust
	12/17/2018		G	V	14,500	A	\$ 0
						14,500	I

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Class A Common				As Trustee for Peter M. Bristow 2nd Amended and Restated Trust
Class A Common	10,858 ⁽²⁾	I		Spouse as beneficiary of trust
Class A Common	10,850 ⁽²⁾	I		As custodian for Peter Bristow, Jr.
Class A Common	12,533	I		As custodian for Charlotte Bristow
Class A Common	12,377	I		As custodian for Ella Bristow
Class A Common	6,106 ⁽²⁾	I		PMB Investments, LLC
Class A Common	2,154 ⁽²⁾	I		CRB Investments, LLC
Class A Common	2,045 ⁽²⁾	I		EHB Investments, LLC
Class A Common	19,041 ⁽²⁾	I		As trustee for PMB Trust 2011
Class A Common	19,041 ⁽²⁾	I		As trustee for CRB Trust 2011
Class A Common	19,041 ⁽²⁾	I		As trustee for EHB Trust 2011
Class A Common	1,775 ⁽²⁾	I		By son, Peter M. Bristow, Jr.
Class B Common	538	D		
Class B Common	82,866	I		By spouse
	1,250 ⁽²⁾	I		

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Class B Common				Spouse as beneficiary of Trust
Class B Common	2,080	(2)	I	As custodian for Peter Bristow, Jr.
Class B Common	2,081	(2)	I	As custodian for Charlotte Bristow
Class B Common	2,081	(2)	I	As custodian for Ella Bristow
Class B Common	8,350	(2)	I	PMB Investments, LLC
Class B Common	8,850	(2)	I	CRB Investments, LLC
Class B Common	8,710	(2)	I	EHB Investments, LLC
Class B Common	82	(2)	I	By Son, Peter M. Bristow, Jr.
Class B Common	82	(2)	I	By daughter, Charlotte Bristow
Class B Common	82	(2)	I	By daughter, Ella Bristow

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu
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of (D)
(Instr. 3,
4, and 5)

(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRISTOW PETER M 4300 SIX FORKS ROAD RALEIGH, NC 27609	X	X	President	

Signatures

Peter M. Bristow, By: William R. Lathan, Jr.,
Attorney-in-Fact

12/28/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reflects distribution from Ella Ann L. Holding 2016 grantor retained annuity trust.

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the

(2) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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