BADGER METER INC

Form 4 April 26, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * JOHNSON RICHARD E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First)

(Street)

(State)

(Middle)

(Zip)

BADGER METER INC [BMI]

(Check all applicable)

4545 W. BROWN DEER

3. Date of Earliest Transaction

(Month/Day/Year) 04/25/2017

Director 10% Owner _X__ Officer (give title Other (specify

below) Sr. VP-Finance, Treas & CFO

ROAD, P.O. BOX 245036

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MILWAUKEE, WI 53224-9536

1. Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially Owned Following Reported

7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

Transaction(s) (Instr. 4)

D

I

(Instr. 3 and 4) Price

Code V Amount (D)

(A)

D

S

\$ 143,440 (2) 41.3403 (1)

Stock

04/25/2017

28,004

Common Stock

Common

4,967.2175

ESSOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat (Month/Day/Y			Securities	8. Prio Deriv Secur (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 33.975					03/04/2017	03/04/2026	BMI Common Stock	5,552	
Stock Options	\$ 18.295					05/06/2012	05/06/2021	BMI Common Stock	2,000	
Stock Options	\$ 18.075					05/04/2013	05/04/2022	BMI Common Stock	6,000	
Stock Options	\$ 25.645					03/01/2014	03/01/2023	BMI Common Stock	3,780	
Stock Options	\$ 27.18					03/07/2015	03/07/2024	BMI Common Stock	5,262	
Stock Options	\$ 28.33					03/06/2016	03/06/2025	BMI Common Stock	6,498	
Stock Options	\$ 36.45					03/03/2018	03/03/2027	BMI Common Stock	5,215	

Reporting Owners

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
JOHNSON RICHARD E 4545 W. BROWN DEER ROAD P.O. BOX 245036			Sr. VP-Finance, Treas & CFO				

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MILWAUKEE, WI 53224-9536

Signatures

Richard E.

Johnson 04/26/2017

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price in Column 4 is a weighted average price. The prices actually received ranged from \$41.15 to \$41.55. The reporting person has (1) provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing an average weighted price.
- (2) This total now includes all restricted stock that was previously listed as a separate line item.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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