SYMANTEC CORP

Form 4

November 02, 2016

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Fey Michael David

(First) (Middle)

(Street)

(State)

(Zip)

350 ELLIS STREET

MOUNTAIN VIEW, CA 94043

2. Issuer Name and Ticker or Trading Symbol

SYMANTEC CORP [SYMC]

3. Date of Earliest Transaction

(Month/Day/Year) 11/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify below)

President and COO

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired Disposed of or Reneficially Owned

. •		Tai)16 1 - MOII-	Derivative Secu	riues Acquii	eu, Disposeu oi,	or belieficiali	y Owneu
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities Acord Disposed of	. ,	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)	, ,	any	Code	(Instr. 3, 4 and	` ′	Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)	(A) or Amount (D)		Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock	11/01/2016		M	13,214 A	\$ 1.69	288,953	D	
Common Stock	11/01/2016		S <u>(1)</u>	13,214 D	\$ 25.1274 (3)	275,739	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu or Di (D) (Instr	5. Number of definition of the first state of the f		e	7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nui Sha
Non-Qualified Stock Option (right to buy)	\$ 1.69	11/01/2016		M		13,214	08/01/2016	05/22/2025	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 6.73						<u>(4)</u>	09/09/2025	Common Stock	2,0

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Fey Michael David 350 ELLIS STREET

President and COO

MOUNTAIN VIEW, CA 94043

Signatures

/s/ Philip Reuther, as attorney-in-fact for Michael
D. Fey

11/02/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 12, 2016.
- (2) Represents the aggregate of sales effected on the same day at different prices pursuant to the plan in footnote (1).
- Represents the weighted average sales price per share. The shares sold at prices ranging from \$25.055 to \$25.185 per share. Full
- (3) information regarding the number of shares sold at each price shall be provided upon request to the staff of the U.S. Securities and Exchange Commission, the Issuer, or a security holder of the Issuer.
- (4) The option vests and becomes exercisable in equal monthly installments over a period of two years, beginning August 1, 2016.
- (5) No transaction is reported. The amount of securities held following the reported transaction is included for information purposes only. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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