Edgar Filing: AMERICAN STATES WATER CO - Form 4

AMERICAN STATES WATER CO Form 4 September 06, 2016

September	06, 2016										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
Washington, D.C. 20549								OMB Number:	3235-0287		
Check t if no loi subject	nger STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							January 31, 2005 Iverage		
Section 16. SECURITIES Form 4 or								burden hours per response 0.5			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> SPROWLS ROBERT J			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer				
			AMERICAN STATES WATER CO [AWR]				(Check all applicable)				
			3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X_ Officer (give title Other (specify below) below)				
1713 N VALLEJO WAY			09/02/2016				President & CEO				
	(Street)		4. If Amendment, Filed (Month/Day/X	-			5. Individual or Joi Applicable Line)	nt/Group Filin	g(Check		
Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person UPLAND, CA 91784 Person											
(City)	(State)	(Zip)	Table I - Nor	n-Derivative Se	ecuriti	ies Acqui	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day	Date, if Transact Code	tr. 8)			A) 5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Shares	09/01/2016		А	57.9311 (1)	А	\$ 38.85	91,931.6416	D			
Common Shares	09/02/2016		А	122.5765 (2)	А	\$0	92,054.2181	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	,	Relationships						
reporting of the runner from the	Director	10% Owner	Officer	Other				
SPROWLS ROBERT J 1713 N VALLEJO WAY UPLAND, CA 91784			President & CEO					
Signatures								
/s/ Robert J. Sprowls 09	0/06/2016							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 9/1/2016 as dividend at FMV
- (2) Updated DRIP amount in Merrill Lynch account

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

**Signature of

Reporting Person