Edgar Filing: IROBOT CORP - Form 4

| IROBOT C Form 4 | CORP | | | | | | | | | | | | |
|---|--------------|--|----|----------------------|-------|---|---|--|---|--|-----------------------------------|--|--|
| June 09, 20 | ЛЛ | | | | | | | | | | B APPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | N OMB Numbe | r: 3235-0287 | | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent of CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | Expires Estimat burden respons | January 31 Expires: 200 Estimated average burden hours per response 0. | | | | | |
| (Print or Type | e Responses) | | | | | | | | | | | | |
| CHWANG RONALD Symb | | | | | | nd Ticker o P [IRBT] | or Trac | ling | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) (First) (Middle) 3. | | | | | est ' | Transaction | 1 | | (Check all applicable) <u>X</u> Director Officer (give title 10% Owner below) Other (specify below) | | | | |
| | | | | nendmer Ionth/Day | | Date Origir ear) | al | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | Ta | ble I - N | lon | -Derivativ | e Secu | irities Ac | quired, Disposed | of, or Benef | icially Owned | | |
| 1.Title of Security (Instr. 3) | | action Date 2A. Deemed /Day/Year) Execution Date, if any (Month/Day/Year) | | | 8) | 4. Securit on(A) or Dis (Instr. 3, 4) Amount | sposed | Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4) | | | |
| Common Stock | 06/08/2016 | | | S | | 11,676 | D | \$ 38.43 (1) | 2,134 | I | See Footnote (2) | | |
| Common Stock | 06/09/2016 | | | S | | 2,134 | D | \$ 38.21 (3) | 0 | I | See Footnote (2) | | |
| Common Stock | | | | | | | | | 79,210 | I | By Chwang-Seto Family Trust | | |

Common Stock

24,993 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr |
|---|---|---|---------------------------------------|---|---------------------|--------------------|--|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|----------|---------------|-----------|---------|-------|--|--|--|
| FB | | Director | 10% Owner | Officer | Other | | | |
| CHWANG RONALD C/O ID VENTURES AMERICA, LLC 5201 GREAT AMERICA PARKWAY, SUIT SANTA CLARA, CA 95054 | ГЕ 270 | X | | | | | | |
| Signatures | | | | | | | | |
| /s/ Glen D. Weinstein, Attorney-in-Fact | 06/09/20 | 16 | | | | | | |

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The range of prices for the transaction reported on this line was \$38.40 to \$38.48. The average weighted price was \$38.43. The reporting
 (1) person will provide, upon request by the SEC, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(2)

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Consists of shares held indirectly by iD America 1, LLC as sole general partner for iD5 Fund, L.P. The reporting person is a principal of iD America 1, LLC. The reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or any other purpose.

The range of prices for the transaction reported on this line was \$38.20 to \$38.22. The average weighted price was \$38.21. The reporting

(3) person will provide, upon request by the SEC, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

The reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest, if any, and this report(4) shall not be deemed an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.