Edgar Filing: WisdomTree Investments, Inc. - Form 4

WisdomTree Investments, Inc. Form 4 January 15, 2016

January 15, 2	2016										
FORM										PPROVAL	
Washington, D.C. 20549							N OMB Number:	3235-0287			
subject to Section 16. SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated a burden hou response	irs per		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Muni Amit			2. Issuer Name and Ticker or Trading Symbol WisdomTree Investments, Inc. [WETF]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) DMTREE ENTS, INC., 24 35TH FLOOR	(Middle) 45 PARK	3. Date of (Month/Da 01/14/20	-	insaction			Director X Officer (giv below) Chief		6 Owner er (specify ver	
	(Street)	rreet) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
NEW YORI	K, NY 10167							Person	More than One R	eporung	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative	Securi	ties Ac	quired, Disposed (of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction 1 (Month/Day/Ye	ear) Executi any	emed on Date, if /Day/Year)	3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3,	l (A) of l of (D 4 and (A) or	9) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Common Stock	01/14/2016			F	5,724 (1)	D	\$0	521,252 <u>(2)</u>	D		
Reminder: Rep	ort on a separate l	ine for each c	lass of secur	ities benefic	•		•	indirectly.	ction of	SEC 1474	

Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	6. Date Exercisable and Expiration Date (Month/Day/Year)		le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Muni Amit C/O WISDOMTREE INVESTMENTS, INC. 245 PARK AVENUE, 35TH FLOOR NEW YORK, NY 10167			Chief Financial Officer				
Signatures							
/s/ Marci Frankenthaler, Attorney-in-Fact	01/15/20	16					
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to Issuer upon vesting of restricted stock award to cover withholding taxes.

Includes restricted stock awards which vest as to (i) 9,011 shares on January 22, 2016, (ii) 37,500 shares on January 25, 2016, (iii) 14,067
(2) shares on January 28, 2016, (iv) 14,338 shares on January 14, 2017, (v) 14,068 shares on January 28, 2017 and (vi) 14,072 shares on January 28, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.