## Edgar Filing: Fortress Investment Group LLC - Form 4

Fortress Investment Group LLC Form 4 January 06, 2016

January 06,	, 2016											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								-	OMB APPROVAL			
Washington, D.C. 20549									OMB Number:	3235-0287		
	this box							Expires:	January 31,			
if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 10							Extimated average burden hours per response 0.					
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	Responses)											
1. Name and Novogratz	2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer						
	Fortress Investment Group LLC [FIG]					(Check all applicable)						
				3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner Officer (give titleX Other (specify below) below)				
1345 AVE AMERICA	01/04/2016					Former Principal and Director						
				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
							n filed by One Reporting Person n filed by More than One Reporting					
(City)	(State)	(Zip)	Tal	ble I - Nor	n-Derivativ	e Securi	ities Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Fransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit on(A) or Di (Instr. 3, -	ies Acq sposed o	uired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Shares	01/04/2016			Code V C	618,178		<u>(1)</u>	1,236,358	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Share Units	<u>(1)</u>	01/04/2016		С	618,178	(2)	(3)	Class A Shares	618,178	

## Edgar Filing: Fortress Investment Group LLC - Form 4

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships						
		Director	10% Owner	Officer	Other			
Novogratz Michael 1345 AVENUE OF THE AM NEW YORK, NY 10105				Former Principal and Director				
Signatures								
/s/ Michael E. Novogratz	01/06/2016							

\*\*Signature of Reporting Date Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Share Unit represents a contingent right to one Class A Share.
- (2) See Issuer Proxy Statement for information about vesting dates.
- The rights attaching to Restricted Share Units generally terminate upon termination of employment. See Issuer Proxy Statement for (3) details.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.