Woodward, Inc. Form 4 June 05, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* HALBROOK JOHN A

(First)

1000 E. DRAKE ROAD

(Street)

(Middle)

(Zip)

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

Woodward, Inc. [WWD] 3. Date of Earliest Transaction

(Month/Day/Year) 05/30/2013

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| FORT | COLLINS, | CO | 80525 |
|------|----------|----|-------|
|      |          |    |       |

(State)

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transacti<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or ctionDisposed of (D) (Instr. 3, 4 and 5) |                  |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect | Ownership Indirect Form: Beneficial Direct (D) Ownership |
|--------------------------------------|--------------------------------------|---|---------------------------------------|--|------------------|---------------|--|---|--|
|                                      |                                      |   | Code V                                | Amount   | (A)<br>or<br>(D) | Price         | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (I)<br>(Instr. 4)                         | (  |
| Woodward, Inc. Common                | 05/30/2013                           |   | S                                     | 3,805.321<br>(1)   | D                | \$<br>38.1818 | 700,202.679  | D   |  |

Stock

Woodward, Inc. Common Stock

206,035 I

the Benita K. Halbrook Grantor Retained Annuity Trust

By self as trustee for

| Woodward, Inc. Common Stock | 28,965  | I | co-trustee for The Benita K. Halbrook Living Trust |
|-----------------------------|---------|---|--|
| Woodward, Inc. Common Stock | 258,364 | I | By Self as holder of note. (2)                     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative | 2. Conversion                            | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if                 | 4.<br>Transact                 | 5.<br>iorNumber      | 6. Date Exer<br>Expiration D |                    | 7. Titl<br>Amou |                              | 8. Price of Derivative | 9. Nu<br>Deriv  |
|------------------------|--|--------------------------------------|---|--------------------------------|----------------------|------------------------------|--------------------|-----------------|------------------------------|------------------------|---|
| Security (Instr. 3)    | or Exercise Price of Derivative Security | (Month/Day/Year)                     | Execution Date, if<br>any<br>(Month/Day/Year) | Transact<br>Code<br>(Instr. 8) | of                   | (Month/Day/<br>e<br>s        |                    | Under<br>Securi | lying                        | Security (Instr. 5)    | Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|                        |  |                                      |   |                                | (Instr. 3, 4, and 5) |                              |                    |                 |                              |                        | (ZIISTI   |
|                        |  |                                      |   |                                | (A) (D)              | Date<br>Exercisable          | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of |                        |   |
|                        |  |                                      |   | Code V                         | ' (A) (D)            |                              |                    |                 | Shares                       |                        |   |

## **Reporting Owners**

| Reporting Owner Name / Address                                  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
|   | Director      | 10% Owner | Officer | Other |  |  |  |
| HALBROOK JOHN A<br>1000 E. DRAKE ROAD<br>FORT COLLINS, CO 80525 | X             |           |         |       |  |  |  |

## **Signatures**

| Katrina L. Jaime, by Power of   |            |
|---------------------------------|------------|
| Attorney                        | 06/05/2013 |
| **Signature of Reporting Person | Date       |

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#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amount reflects shares owned by Mr. Halbrook's mother and over which Mr. HAlbrook held power of attorney.
- Mr. Halbrook beneficially owns 258,364 shares sold in 2011 to The Halbrook Family Irrevocable Trust (the "Halbrook Family Trust Shares"), of which Mr. Halbrook's children are the beneficiaries and trustees without dispositive power with respect to these shares. The Halbrook Family Trust Shares were sold by Mr. Halbrook in exchange for a promissory note in the principal amount of \$8,936,200, accruing interest at the rate of 1.5% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.