

YUREK GREGORY J

Form 4

December 02, 2009

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
YUREK GREGORY J

2. Issuer Name **and** Ticker or Trading  
Symbol  
AMERICAN SUPERCONDUCTOR  
CORP /DE/ [AMSC]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
C/O AMERICAN  
SUPERCONDUCTOR  
CORPORATION, 64 JACKSON  
ROAD

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/01/2009

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
Chairman, President and CEO

(Street)  
DEVENS, MA 01434

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/01/2009		M <sup>(1)</sup>		15,000	A	\$ 3.53	0		D
Common Stock	12/01/2009		S <sup>(1)</sup>		100	D	\$ 34.74	0		D
Common Stock	12/01/2009		S <sup>(1)</sup>		4,900	D	\$ 34.73	0		D
Common Stock	12/01/2009		S <sup>(1)</sup>		700	D	\$ 34.62	0		D

Edgar Filing: YUREK GREGORY J - Form 4

Common Stock	12/01/2009	S <sup>(1)</sup>	1,800	D	\$ 34.61	0	D	
Common Stock	12/01/2009	S <sup>(1)</sup>	2,500	D	\$ 34.45	0	D	
Common Stock	12/01/2009	S <sup>(1)</sup>	50	D	\$ 34.37	0	D	
Common Stock	12/01/2009	S <sup>(1)</sup>	250	D	\$ 34.36	0	D	
Common Stock	12/01/2009	S <sup>(1)</sup>	2,200	D	\$ 34.35	0	D	
Common Stock	12/01/2009	S <sup>(1)</sup>	2,500	D	\$ 33.95	177,140	<sup>(2)</sup>	D
Common Stock						752	<sup>(3)</sup>	I By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to buy)	\$ 3.53	12/01/2009		M <sup>(1)</sup>	15,000	<sup>(4)</sup> 05/09/2013	Common Stock	15,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YUREK GREGORY J	X		Chairman, President and	

C/O AMERICAN SUPERCONDUCTOR  
CORPORATION  
64 JACKSON ROAD  
DEVENS, MA 01434

CEO

## Signatures

/s/ Gregory J.  
Yurek

12/02/2009

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The stock option exercise and sales reported on this Form 4 were effected pursuant to Rule 10b5-1 Plan.
- (2) Following all the transactions reported on this Form 4, the reporting person holds 177,140 shares directly.
- (3) Following all the transactions reported on this Form 4, the reporting person holds 752 shares indirectly through the company's 401(k) plan as of November 30, 2009.
- (4) The option was fully vested as of May 9, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.