#### Edgar Filing: TRIDENT CAPITAL FUND V LP - Form 4

TRIDENT CAPITAL FUND V LP Form 4 November 16, 2005

**OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading TRIDENT CAPITAL Issuer Symbol MANAGEMENT V LLC **IROBOT CORP** [IRBT] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ 10% Owner Director

11/15/2005

4. If Amendment, Date Original

Filed(Month/Day/Year)

### C/O TRIDENT CAPITAL, 505 HAMILTON AVENUE, SUITE 200

(Street)

#### PALO ALTO, CA 94301

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-l                           | Derivative Sec   | uritie           | s Acqui     | red, Disposed of   | , or Beneficial   | ly Owned  |
|--------------------------------------|---|---|--|--|------------------|-------------|--|-------------------|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | ransactioner Disposed of (D)<br>code (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities6.BeneficiallyForm:OwnedDirect (D)Followingor Indirect |                   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |   |   | Code V                                 | Amount   | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                                   | (I)<br>(Instr. 4) |   |
| Common<br>Stock                      | 11/15/2005                              |   | С                                      | 2,089,237  | А                | \$ 0<br>(1) | 2,089,237  | Ι                 | See Footnote $(2)$  |
| Common<br>Stock                      | 11/15/2005                              |   | С                                      | 1,871,615  | А                | \$ 0<br>(1) | 1,871,615  | I                 | See<br>Footnote   |
| Common<br>Stock                      | 11/15/2005                              |   | С                                      | 10,380   | А                | \$ 0<br>(1) | 10,380   | Ι                 | See<br>Footnote<br>(4)  |
| Common<br>Stock                      | 11/15/2005                              |   | С                                      | 10,878   | А                | \$ 0<br>(1) | 10,878   | Ι                 | See<br>Footnote   |

\_ Other (specify

below)

6. Individual or Joint/Group Filing(Check

\_\_\_\_ Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Officer (give title

below)

Person

Applicable Line)

|                 |            |   |                   |   |             |           |   | (5)                    |
|-----------------|------------|---|-------------------|---|-------------|-----------|---|------------------------|
| Common<br>Stock | 11/15/2005 | C | 54,171            | А | \$ 0<br>(1) | 54,171    | I | See<br>Footnote $(6)$  |
| Common<br>Stock | 11/15/2005 | С | 142,193           | А | \$ 0<br>(1) | 142,193   | I | See<br>Footnote        |
| Common<br>Stock | 11/15/2005 | S | 68,249 <u>(8)</u> | D | \$ 24       | 2,020,988 | I | See<br>Footnote        |
| Common<br>Stock | 11/15/2005 | S | 61,140 <u>(8)</u> | D | \$ 24       | 1,810,475 | I | See<br>Footnote        |
| Common<br>Stock | 11/15/2005 | S | 339 <u>(8)</u>    | D | \$ 24       | 10,041    | I | See Footnote $(4)$     |
| Common<br>Stock | 11/15/2005 | S | 355 <u>(8)</u>    | D | \$ 24       | 10,523    | Ι | See<br>Footnote        |
| Common<br>Stock | 11/15/2005 | S | 1,770 <u>(8)</u>  | D | \$ 24       | 52,401    | Ι | See<br>Footnote<br>(6) |
| Common<br>Stock | 11/15/2005 | S | 4,645 <u>(8)</u>  | D | \$ 24       | 137,548   | I | See Footnote $(7)$     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>tiorDerivative<br>Securities<br>) Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) |           | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                  |
|---|---|---|---|--|--|-----------|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                 | (A)  | (D)       | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount or<br>Number of<br>Shares |
| Series E<br>Preferred<br>Stock                      | <u>(1)</u>  | 11/15/2005                              |   | С                                      |  | 1,933,184 | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock   | 1,933,18                         |

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| Series F<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 156,053   | (1)        | (1)        | Common<br>Stock | 156,053  |
|--------------------------------|------------|------------|---|-----------|------------|------------|-----------------|----------|
| Series E<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | С | 1,731,817 | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 1,731,81 |
| SeriesF<br>Preferred<br>Stock  | <u>(1)</u> | 11/15/2005 | C | 139,798   | (1)        | <u>(1)</u> | Common<br>Stock | 139,798  |
| Series E<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 9,605     | (1)        | <u>(1)</u> | Common<br>Stock | 9,605    |
| Series F<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 775       | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 775      |
| Series E<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 10,065    | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 10,065   |
| Series F<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 813       | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 813      |
| Series E<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 50,125    | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 50,125   |
| Series F<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 4,046     | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 4,046    |
| Series E<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 131,572   | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 131,572  |
| Series F<br>Preferred<br>Stock | <u>(1)</u> | 11/15/2005 | C | 10,621    | <u>(1)</u> | <u>(1)</u> | Common<br>Stock | 10,621   |

# **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  |          | Relationships |         |       |  |  |  |
|--|----------|---------------|---------|-------|--|--|--|
|  | Director | 10% Owner     | Officer | Other |  |  |  |
| TRIDENT CAPITAL MANAGEMENT V LLC<br>C/O TRIDENT CAPITAL<br>505 HAMILTON AVENUE, SUITE 200<br>PALO ALTO, CA 94301 |          | Х             |         |       |  |  |  |
| TRIDENT CAPITAL FUND V AFFILIATES FUND LP  |          | Х             |         |       |  |  |  |

| C/O TRIDENT CAPITAL<br>505 HAMILTON AVENUE, SUITE 200<br>PALO ALTO, CA 94301  |                |            |
|---|----------------|------------|
| TRIDENT CAPITAL FUND V PRINCIPALS FUND L P<br>C/O TRIDENT CAPITAL<br>505 HAMILTON AVENUE, SUITE 200<br>PALO ALTO, CA 94301  | Х              |            |
| TRIDENT CAPITAL PARALLEL FUND V CV<br>C/O TRIDENT CAPITAL<br>505 HAMILTON AVENUE, SUITE 200<br>PALO ALTO, CA 94301          | Х              |            |
| TRIDENT CAPITAL FUND V AFFILIATES FUND Q LP<br>C/O TRIDENT CAPITAL<br>505 HAMILTON AVENUE, SUITE 200<br>PALO ALTO, CA 94301 | Х              |            |
| TRIDENT CAPITAL FUND V LP<br>C/O TRIDENT CAPITAL<br>505 HAMILTON AVENUE, SUITE 200<br>PALO ALTO, CA 34301                   | Х              |            |
| Signatures  |                |            |
| /s/ Bonnie N. Kennedy, Managing Director, Trident Capital Management  | V, L.L.C.      | 11/15/2005 |
| <u>**</u> Signature of Reporting Person   |                | Date       |
| /s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V Affilia<br>L.P.  | ates Fund,     | 11/15/2005 |
| <u>**</u> Signature of Reporting Person   |                | Date       |
| /s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V Princi L.P.  | pals Fund,     | 11/15/2005 |
| <u>**</u> Signature of Reporting Person   |                | Date       |
| /s/ Bonnie N. Kennedy, Managing Director, Trident Capital Parallel Fund-  | V, C.V.        | 11/15/2005 |
| <u>**</u> Signature of Reporting Person   |                | Date       |
| /s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V Affilia<br>L.P.  | ates Fund (Q), | 11/15/2005 |
| <u>**</u> Signature of Reporting Person   |                | Date       |
| /s/ Bonnie N. Kennedy, Managing Director, Trident Capital Fund-V, L.P.  |                | 11/15/2005 |
| <u>**</u> Signature of Reporting Person   |                | Date       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The preferred stock automatically converted upon the consummation of the Issuer's initial public offering on a one-for-one basis.
- (2) Consists of shares held indirectly by Trident Capital Management-V, L.L.C. as sole general partner of Trident Capital Fund-V, L.P., Trident Capital Fund-V Affiliates Fund, L.P., Trident Capital Fund-V Affiliates Fund (Q), L.P., and Trident Capital Fund-V Principals Fund, L.P. and the sole investment general partner of Trident Capital Parallel Fund-V, C.V. The reporting person disclaims beneficial ownership of such shares except to the extent of his pecuniary interest, if any, and this report shall not be deemed an admission that the

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reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or any other purpose.

- (3) Consists of shares owned by Trident Capital Fund-V, L.P.
- (4) Consists of shares owned by Trident Capital Fund-V Affiliates Fund (Q), L.P.
- (5) Consists of shares owned by Trident Capital Fund-V Affiliates Fund, L.P.
- (6) Consists of shares owned by Trident Capital Fund-V Principals Fund, L.P.
- (7) Consists of shares owned by Trident Capital Parallel Fund-V, C.V.
- (8) Shares sold pursuant to the exercise of the over-allotment option in connection with the initial public offering of common stock of iRobot Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.