### Edgar Filing: MARTIN WILLIAM C - Form 3

### MARTIN WILLIAM C

Form 3

December 26, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MARTIN WILLIAM C

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/15/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

DSP GROUP INC /DE/ [DSPG]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O RAGING CAPITAL MANAGEMENT, LLC, TEN PRINCETON AVENUE, PO **BOX 228** 

(Street)

(Check all applicable)

Director Officer

10% Owner \_X\_ Other (give title below) (specify below) See Explanation of Responses

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting

Person

\_X\_ Form filed by More than One

Reporting Person

**ROCKY** HILL. NJÂ 08553-0228

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect

(Instr. 5)

By Raging Capital Master Fund,

I (2) (3) Ltd.

Common Stock, \$0.001 par value  $\frac{(1)}{2}$ 

2,172,872

SEC 1473 (7-02)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

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currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and 3. Title and Amount of

5.

6. Nature of Indirect Beneficial Ownership

**Expiration Date** 

Securities Underlying

Ownership Conversion

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(Month/Day/Year)		Derivative Security (Instr. 4)		or Exercise Price of	Form of (In Derivative	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
topolong o national nations	Director	10% Owner	Officer	Other		
MARTIN WILLIAM C C/O RAGING CAPITAL MANAGEMENT, LLO TEN PRINCETON AVENUE, PO BOX 228 ROCKY HILL, NJ 08553-0228	Ĉ Â	Â	Â	See Explanation of Responses		
Raging Capital Management, LLC TEN PRINCETON AVENUE PO BOX 228 ROCKY HILL, NJ 08553-0228	Â	Â	Â	See Explanation of Responses		
Signatures						
By: /s/ Frederick C. Wasch as attorney-in-fact for William C. Martin	12/26/2017					
**Signature of Reporting Person		Date				
By: Raging Capital Management, LLC, By: /s/ Frederick C. Wasch, Chief Financial Officer	12/26/2017					
**Signature of Reporting Person		Date				

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form 3 is filed jointly by Raging Capital Management, LLC ("Raging Capital") and William C. Martin (collectively, the "Reporting Persons"). Each of the Reporting Persons may be deemed to be a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein.
- Represents securities held directly by Raging Capital Master Fund, Ltd. ("Raging Master"). Raging Capital is the Investment Manager of Raging Master. William C. Martin is the Chairman, Chief Investment Officer and Managing Member of Raging Capital. Raging Master has delegated to Raging Capital the sole authority to vote and dispose of the securities held by Raging Master pursuant to an Investment Management Agreement, dated November 9, 2012, as amended and restated on December 21, 2016 (the "IMA").
- The IMA may be terminated by any party thereto effective at the close of business on the last day of any fiscal quarter by giving the other party not less than sixty-one days' written notice. As a result, each of Raging Capital and William C. Martin may be deemed to beneficially own the securities held by Raging Master. Raging Master specifically disclaims beneficial ownership of the securities held by it by virtue of its inability to vote or dispose of such securities as a result of the IMA.

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#### **Remarks:**

Exhibit 24- Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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