Carlyle Group L.P. Form 8-K September 05, 2017

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): September 4, 2017

The Carlyle Group L.P.

(Exact name of registrant as specified in its charter)

Delaware (State or Other Jurisdiction **001-35538** (Commission

45-2832612 (IRS Employer

of Incorporation)

File Number)

Identification No.)

Edgar Filing: Carlyle Group L.P. - Form 8-K

1001 Pennsylvania Avenue, NW

Washington, D.C. (Address of Principal Executive Offices)

20004-2505 (Zip Code)

(202) 729-5626

(Registrant s Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 8.01. Other Events.

On September 4, 2017, The Carlyle Group issued a news release announcing that the Royal Court of Guernsey has ruled that Carlyle and the Directors of Carlyle Capital Corporation Limited (CCC) have no liability in connection with the litigation before the Royal Court of Guernsey brought by the liquidators of CCC. A copy of the news release is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits. (d) Exhibits.

Exhibit No. Description

99.1 News release of The Carlyle Group, dated September 4, 2017.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

THE CARLYLE GROUP L.P.

By: Carlyle Group Management L.L.C.,

its general partner

Date: September 5, 2017 By: /s/ Jeffrey W. Ferguson

Name: Jeffrey W. Ferguson Title: General Counsel

EXHIBIT INDEX

Exhibit No. Description

Exhibit 99.1 News release of The Carlyle Group, dated September 4, 2017.