ATHERSYS, INC / NEW Form 8-K September 12, 2016

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): September 12, 2016

Athersys, Inc.

(Exact Name of Registrant as Specified in Charter)

Delaware (State or Other Jurisdiction 001-33876 (Commission 20-4864095 (I.R.S. Employer

of Incorporation)

File Number)

Identification No.)

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3201 Carnegie Avenue, Cleveland, Ohio

(Address of Principal Executive Offices)

(Zip Code)

Registrant s telephone number, including area code: (216) 431-9900

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- "Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- "Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01. Other Events.

On September 12, 2016, Athersys, Inc. (Athersys) announced the authorization the commencement by its collaborator, HEALIOS K.K., of a clinical trial in Japan evaluating the safety and efficacy of administration of MultiStem® cell therapy, Athersys novel stem cell therapy, to treat patients who have suffered an ischemic stroke. A copy of the press release issued by Athersys announcing the clinical trial is filed as Exhibit 99.1 hereto and is incorporated herein by reference.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No. Exhibit Description

99.1 Press Release dated September 12, 2016

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: September 12, 2016

ATHERSYS, INC.

By: /s/ Laura K. Campbell Name: Laura K. Campbell

Title: Senior Vice President of Finance

EXHIBIT INDEX

Exhibit No. Exhibit Description

99.1 Press Release dated September 12, 2016