

APPLE INC
Form 4
July 31, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
DREXLER MILLARD S

(Last) (First) (Middle)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
APPLE INC [AAPL]

3. Date of Earliest Transaction
(Month/Day/Year)
07/29/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/29/2013		M	5,000 A \$ 113.62	5,971	D	
Common Stock	07/29/2013		M	10,000 A \$ 181.17	15,971	D	
Common Stock	07/29/2013		M	10,000 A \$ 122.5	25,971	D	
Common Stock	07/29/2013		M	7,562 A \$ 202	33,533	D	
Common Stock	07/29/2013		S	4,124 D \$ 448.2059	29,409	D	

(1)

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Common Stock	07/29/2013	S	23,117	D	\$ 449.1215 (2)	6,292	D
Common Stock	07/29/2013	S	5,321	D	\$ 449.7865 (3)	971	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 113.62	07/29/2013		M	5,000	(4) 05/25/2017	Common Stock	5,000
Director Stock Option (Right to Buy)	\$ 181.17	07/29/2013		M	10,000	(5) 05/25/2018	Common Stock	10,000
Director Stock Option (Right to Buy)	\$ 122.5	07/29/2013		M	10,000	(6) 05/25/2019	Common Stock	10,000
Director Stock Option (Right to Buy)	\$ 202	07/29/2013		M	7,562	(7) 02/25/2020	Common Stock	7,562

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
DREXLER MILLARD S 1 INFINITE LOOP CUPERTINO, CA 95014	X

Signatures

/s/ Gene Levoff, Attorney-in-fact for Millard S.
Drexler

07/31/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction was executed in multiple trades at prices ranging from \$447.59 to \$448.56; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

(2) This transaction was executed in multiple trades at prices ranging from \$448.59 to \$449.54; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

(3) This transaction was executed in multiple trades at prices ranging from \$449.62 to \$449.99; the price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide full information regarding the number of shares and prices at which the transactions were effected upon request to the SEC staff, the issuer, or a security holder of the issuer.

(4) This Director Stock Option was granted on May 25, 2007 and vested immediately on grant date.

(5) This Director Stock Option was granted on May 25, 2008 and vested immediately on grant date.

(6) This Director Stock Option was granted on May 25, 2009 and vested immediately on grant date.

(7) This Director Stock Option was granted on February 25, 2010 and vested immediately on grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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