Edgar Filing: Shapiro David T - Form 4

Shapiro David T Form 4 March 16, 2010UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).SECURITIESShapiro David T Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5			
(Print or Type]	Responses)									
Shapiro David T Symbo							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Mi	iddle) 3. Date	3. Date of Earliest Transaction							
			Ionth/Day/Year) 3/12/2010				Director 10% Owner Officer (give title Other (specify below) below) Chief Compliance Officer			
	nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
EL SEGUN	DO, CA 90245						Form filed by M Person	ore than One Re	porting	
(City)	(State) (Z	Zip) Tal	ble I - Non-l	Derivative	Secu	rities Acqu	ired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	-	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or Disposed of (D) Se Code (Instr. 3, 4 and 5) Be (Instr. 8) Ov Fo (A) Tr			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	03/12/2010		М	6,666	А	\$ 42.48	7,823	D		
Common Stock	03/12/2010		F	4,514	D	\$ 62.74	3,309	D		
Common Stock	03/12/2010		S	2,152	D	\$ 62.253 (1)	1,157 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Yea		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nur of Sha
Stock Appreciation Right	\$ 42.48	03/12/2010		М	6,66	5 03/17/2009 <u>(3)</u>	03/17/2013	Common Stock	6,0

Reporting Owners

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Shapiro David T 601 HAWAII ST. EL SEGUNDO, CA 90245			Chief Compliance Officer		
Signatures					

Date

Signatures

/s/ Corinn B. Polk Attorney-in-Fact 03/16/2010

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The range of prices for the sale of these shares was \$62.25 \$62.26. The filer undertakes to provide staff, the issuer, or a security holder full information regarding the number of shares sold at each separate price.
- (2) Includes 157 shares from the Employee Stock Purchase Plan.
- (3) Stock Appreciation Right, pursuant to the 2002 Equity Compensation Plan, which vested 25% on the first anniversary of the grant date, 8.33% on the 20th month following the grant date, and 8.33% every 4 months thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.