Edgar Filing: GENWORTH FINANCIAL INC - Form 4

GENWORT Form 4 May 27, 200	H FINANCIAL	INC									
FORM	14 UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									
Check th if no long subject to Section 1 Form 4 c Form 5 obligatio may con See Instr 1(b).	s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section nue. 20(b) of the Investment Company Act of 1940								Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5		
(Print or Type]	Responses)										
Pehota Joseph Symb GEN			Symbol	[.] Name and ORTH FI				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				of Earliest Transaction Day/Year) 2005				Director 10% Owner X Officer (give title Other (specify below) below) SVP - Business Development			
			ndment, Da nth/Day/Year	-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
(City)	(State)	(Zip)	<i>(</i> 1)	.		~		Person			
1.Title of Security (Instr. 3)	Title of 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if			a 4. Securities Acquired 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Class A Common Stock	05/25/2005			M	Amount 15,652	(D) A	Price (<u>1)</u>	15,852	D		
Class A Common Stock	05/25/2005			F	4,975	D	\$ 27.95	10,877	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	05/25/2005		М		15,652	(2)	(2)	Class A Common Stock	15,652	

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
Pehota Joseph C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230			SVP - Business Development					
Signatures								
/s/ Richard J. Oelhafen, Jr., Attorney-in-Fact		05/27/2005						
<u>**</u> Signature of Reporting Person		Date						
Explanation of Respon	ses:							

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Unit settles in shares of Class A Common Stock on a 1:1 basis.
- (2) Vested 100% 5/25/05; no expiration date.
- (3) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.