Edgar Filing: SAXE TIMOTHY - Form 4

CAVE TIMOTIN

Form 4	111										
December 20									OMB A	PPROVAL	
FORM	4 UNITED	SECURITIES AND EXCHANGE C Washington, D.C. 20549					COMMISSION		3235-0287		
Check this if no longe subject to	er STATEN	GES IN BENEFICIAL OW				NERSHIP OF	Expires: Estimated a	January 31 2005 average			
Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	Filed pur s Section 17(SECURITIES burden hours per response Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								rs per	
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> SAXE TIMOTHY			2. Issuer Name and Ticker or Trading Symbol QUICKLOGIC CORPORATION [QUIK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 1277 ORLEANS DRIVE			3. Date of Earliest Transaction (Month/Day/Year) 06/18/2018					Director 10% Owner X Officer (give title Other (specify below) below) Sr. VP and CTO			
				ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SUNNYVA	LE, CA 94089-1	138							fore than One Re		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	any		med on Date, if Day/Year)	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)(Instr. 8)(Instr. 3, 4 and 5)			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	12/18/2018			M <u>(4)</u>	675	А	\$0	130,880 <u>(5)</u>	D		
Common Stock	12/18/2018			F <u>(3)</u>	234	D	\$ 0.85	130,646	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Derivative	6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. Price Deriva Securit (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	12/18/2018		M <u>(4)</u>	675	(2)	(2)	Common Stock	675	\$ (

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SAXE TIMOTHY 1277 ORLEANS DRIVE SUNNYVALE, CA 94089-1138			Sr. VP and CTO				
Signatures							
/s/ Ravi Pokuri by Power of Attorney		12/20/2018					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each RSU represents a contingent right to receive one share of the Issuer's common stock.

withholding obligations of the Reporting person resulting from the vesting of the RSUs.

(2) 25% of the RSUs vested on 12/18/2015, one year from the grant date. The balance of the shares vest 12.5% every six months beginning on June 18, 2016. Shares of the Issuers common stock will be delivered to the Reporting Person upon vesting.

Exempt transaction pursuant to Section 16b3(e) payment of exercise price or tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b3. All of the shares reported as disposed of on this line were relinquished by the Reporting person and cancelled by the issuer in exchange for the Issuer's agreement to pay federal and state tax

- (4) Vesting of Restricted Stock Units (RSUs) granted to the Reporting Person on December 18, 2014.
- (5) Includes 8,824 shares of common stock acquired through the Issuer's employee stock purchase plan (the "ESPP") on May 14, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.