Schumacher Laura J Form 4 December 07, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2. Issuer Name and Ticker or Trading

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Schumacher Laura J

(First)

(Street)

AbbVie Inc. [ABBV] (Middle) 3. Date of Earliest Transaction

Symbol

(Month/Day/Year) 12/04/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner \_X\_\_ Officer (give title Other (specify below)

**Executive Vice President** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

### NORTH CHICAGO, IL 60064

1 N. WAUKEGAN ROAD

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities A order Disposed of (Instr. 3, 4 and Amount (D)	(D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common stock, \$0.01 par value	12/04/2018		M	94,140 A	\$ 51.42	192,398	D			
Common stock, \$0.01 par value	12/04/2018		S	33,198 D	\$ 94.3418 (1)	159,200	D			
Common stock, \$0.01 par value	12/04/2018		S	41,642 D	\$ 93.6647	117,558	D			

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Common stock, \$0.01 par value	12/04/2018	S	10,000	D	\$ 92.3705 (3)	107,558	D
Common stock, \$0.01 par value	12/04/2018	S	9,300	D	\$ 91.7745 (4)	98,258	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o	of 2.	3. Transaction Date	3A. Deemed	4.	5. Nu	ımber of	6. Date Exercis	sable and	7. Title and A	Amount of
Derivativ	ve Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	le Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acqu	ired (A)				
	Derivative				or Di	sposed of				
	Security				(D)	•				
	Ť			(Instr. 3, 4,						
					and 5	5)				
						,	Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A)	(D)				of Shares
Option (Right to buy) (5)	to \$51.42	12/04/2018		M		94,140	02/20/2015	02/19/2024	Common Stock	94,140

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
Treporting of their remains a remainder	Director	10% Owner	Officer	Other	
Schumacher Laura J					
1 N. WAUKEGAN ROAD			<b>Executive Vice President</b>		
NORTH CHICAGO, IL 60064					

# **Signatures**

Steven L. Scrogham, attorney-in-fact for Laura J.
Schumacher

\*\*Signature of Reporting Person

Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.98 to \$94.98, inclusive. The reporting person undertakes to provide AbbVie Inc., any security holder of AbbVie Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$93.00 to \$93.97, inclusive. The reporting person undertakes to provide AbbVie Inc., any security holder of AbbVie Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
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- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$91.515 to \$92.97, inclusive. The reporting person undertakes to provide AbbVie Inc., any security holder of AbbVie Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) Employee stock option granted pursuant to the AbbVie 2013 Incentive Stock Program in a transaction exempt from Section 16 under Rule 16b-3.

#### **Remarks:**

The transactions reported on this Form 4 were made pursuant to a previously adopted plan complying with Rule 10b5-1(c).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.