#### ATLANTIC POWER CORP

Form 4 June 10, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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obligations

1(b).

(Last)

(City)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* McNeil John Alexander

2. Issuer Name and Ticker or Trading Symbol

ATLANTIC POWER CORP [AT]

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Street)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

(Check all applicable)

C/O ATLANTIC POWER CORPORATION, 3 ALLIED

DRIVE, SUITE 220

06/09/2015

below)

X\_ Director 10% Owner Other (specify Officer (give title

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

D

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**DEDHAM, MA 02026** 

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Amount (D)

20,000 A

(Instr. 3 and 4) Price

(A)

Code V \$

> 2.81 55,500 (1)

Common shares

06/09/2015

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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De Se	Title of crivative curity sstr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Co Do du Do	onvertible ebentures ae ecember , 2019	\$ 11.7 <u>(2)</u>	06/09/2015		P	\$ 40,330 (3)	06/09/2015	12/31/2019	Common shares	3,448 (4)
Co Do du	25% onvertible ebentures as March 5, 2017	\$ 10.49 (6)	06/09/2015		P	\$ 48,396 <u>(7)</u>	06/09/2015	03/15/2017	Common shares	4,613 (8)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

McNeil John Alexander C/O ATLANTIC POWER CORPORATION 3 ALLIED DRIVE, SUITE 220 DEDHAM, MA 02026



## **Signatures**

John S. Miele, attorney-in-fact 06/10/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The common shares were acquired at a price of Cdn\$3.48 which was converted to the U.S. dollar equivalent of \$2.81 using the June 8, 2015 end of day exchange rate of .8066.
- The 6.00% Convertible Debentures due December 31, 2019 are convertible into approximately 68.9655 common shares per Cdn\$1,000 principal amount, at any time, at the option of the holder representing a conversion price of Cdn\$14.50 per common share. The conversion price of Cdn\$14.50 was converted to the U.S. dollar equivalent of \$11.70 using the June 8, 2015 end of day exchange rate of .8066.

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- The Cdn\$50,000 principal of 6.00% Convertible Debentures due December 31, 2019 were converted to a U.S. dollar equivalent of \$40,330 using the June 8, 2015 end of day exchange rate of .8066.
- The 6.00% Convertible Debentures due December 31, 2019 are convertible into approximately 68.9655 common shares per Cdn\$1,000 principal amount, at any time, at the option of the holder representing a conversion price of Cdn\$14.50 per common share. The Cdn\$50,000 of convertible debentures converts to 3,448 common shares using the June 8, 2015 end of day exchange rate of .8066.
- (5) The Cdn\$50,000 principal of 6.00% Convertible Debentures due December 31, 2019 were purchased at a price of Cdn\$84.55. This was converted to a U.S. dollar equivalent of \$68.20 using the June 8, 2015 end of day exchange rate of .8066.
- The 6.25% Convertible Debentures due March 15, 2017 are convertible into approximately 76.9231common shares per

  Cdn\$1,000 principal amount, at any time, at the option of the holder representing a conversion price of Cdn\$13.00 per common share. The conversion price of Cdn\$13.00 was converted to the U.S. dollar equivalent of \$10.49 using the June 8, 2015 end of day exchange rate of .8066.
- The Cdn\$60,000 principal of 6.25% Convertible Debentures due March 15, 2017 were converted to a U.S. dollar equivalent of \$48,396 using the June 8, 2015 end of day exchange rate of .8066.
- The 6.25% Convertible Debentures due March 15, 2017 are convertible into approximately 76.9231 common shares per Cdn\$1,000 principal amount, at any time, at the option of the holder representing a conversion price of Cdn\$13.00 per common share. The Cdn\$60,000 of convertible debentures converts to 4,615 common shares using the June 8, 2015 end of day exchange rate of .8066.
- (9) The cumulative Cdn\$110,000 principal of 6.25% Convertible Debentures due March 15, 2017 owned were converted to a U.S. dollar equivalent of \$88,726 using the June 8, 2015 end of day exchange rate of .8066.
- (10) The Cdn\$60,000 principal of 6.25% Convertible Debentures due March 15, 2017 were purchased at a price of Cdn\$94.00. This was converted to a U.S. dollar equivalent of \$75.82 using the June 8, 2015 end of day exchange rate of .8066.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.