Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 4

ETHAN ALLEN INTERIORS INC Form 4 June 07, 2013 OMB APPROVAL MBPROVAL FORM 4 Unite Distance 07, 2013 UNITED STATES SECURITIES AND EXCHANGES IN BURGED IN CONSUMPTION THE longer statistic to Section 16. STATEMENT OF CHANGES IN BURGET IN SUBJECT OF CHANGES IN BURGET IN SUBJECT OF CHANGES IN BURGET IN SUBJECT OF CHANGES IN CONSUMPTION THE SECURITIES Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 16(a) of the Securities Exchange Act of 1934, of the public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Statement of Form 3 or Section 10(c). Statement of Form 10(c).		L	uyar r m	ıg. ∟ I I								
Check this box if no longer subject in Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Sumbler Section 16. 3235-0287 Expires: Form 4 or Form 4 or Form 4 or Form 5 obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). 5. Relationship of Reporting Person*. 0.5 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol ETHAN ALLEN INTERIORS INC (EFTH] 5. Relationship of Reporting Person(s) to Issuer 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol ETHAN ALLEN INTERIORS INC (EFTH] 5. Relationship of Reporting Person(s) to Issuer (Maidle) 3. Date of Earliest Transaction (Month/Day/Year) 06/06/2013	Form 4		S INC									
Check this box if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, abiligations section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 I(b). (Print or Type Responses) 1. Name and Address of Reporting Person 1 I(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2 IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	FORM	1 4								OMB AP	PROVAL	
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(Print or Type Responses) 1. Name and Address of Reporting Person" 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) ETHAN ALLEN DRIVE 06/06/2013	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040											
THORN CLIFFORDSymbol ETHAN ALLEN INTERIORS INC [ETH]Issuer(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)(Check all applicable)(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)Director officer (give tile10% Owner (Director give tileETHAN ALLEN DRIVE06/06/2013		Responses)										
ETHAN ALLEN DRIVE (Month/Day/Year) O6/06/2013 Officer (give title below) X				Symbol ETHAN				0	Issuer			
Filed(Month/Day/Year) Applicable Line) Security Applicable Line) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired Transactior(A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned 6. 7. Nature of Ownership Form: Direct Beneficially Owned Common Stock 06/06/2013 S 400 D \$ 31.114 3,755 D	(Month/Da				Day/Year)				Officer (give title X Other (specify below)			
DANBORT, CT 00811 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code 5. Amount of Securities Beneficially Owned 6. 7. Nature of Ownership (Instr. 3) (Month/Day/Year) (Month/Day/Year) 3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code 5. Amount of Securities 6. 7. Nature of Ownership (Instr. 3) (Month/Day/Year) (Instr. 8) 7. Nature of (Instr. 8) 6. 7. Nature of Ownership (Instr. 4) (Instr. 8) (Instr. 3, 4 and 5) 5. 6. 7. Nature of Ownership (Instr. 4) (Instr. 8) (Instr. 8) 7. 8. 6. 7. (Instr. 4) (Instr. 8) (Instr. 3, 4 and 5) 0. 9. 9. 9. (Code V Amount (D) Price 7. 9. 9. 9. 9. 9. Common 06/06/2013 S 400 D \$. <t< td=""><td colspan="3">· · · · · · · · · · · · · · · · · · ·</td><td colspan="4">Month/Day/Year)</td><td colspan="3">Applicable Line) _X_ Form filed by One Reporting Person</td></t<>	· · · · · · · · · · · · · · · · · · ·			Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
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		06/06/2013			S	100	D		3,655	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
THORN CLIFFORD ETHAN ALLEN DRIVE DANBURY, CT 06811				VP Upholstery Manufacturing			
O !							

Signatures

Clifford Thorn	06/07/2013				
<u>**</u> Signature of Reporting Person	Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.