### Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 3

#### ETHAN ALLEN INTERIORS INC

Form 3

February 12, 2013

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

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Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Name and Address of Reporting Person *  Bliss Kathy			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol ETHAN ALLEN INTERIORS INC [ETH]					
(Last)	(First)	(Middle)	02/08/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Origin Filed(Month/Day/Year)		
ETHAN AL	LEN DRI	VE		(1)			Tiled(Wolldi/Day/Tear)		
(Street) DANBURY, CT 06811			(Check all applicable)  Director 10% C OfficerX_ Other (give title below) (specify belo Managing Director		all applicable	)	6. Individual or Joint/Group		
						Eiling (Charle Anntholde Line)			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned						
1.Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Ownership Ownership Form: (Instr. 5) Direct (D) T Indirect				
Common St	ock		700		D	Â			
Reminder: Rep			ach class of securities benefic	ially S	EC 1473 (7-02	2)			
	inforı requi	mation contred to resp	spond to the collection of tained in this form are not ond unless the form displeted the control number.	t					

#### $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect	
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Beneficial	
			Derivative Security (Instr. 4)		or Exercise Form of Price of Derivativ	Form of	Ownership	
						Derivative	(Instr. 5)	
	D.	Expiration Date	Title	Amount or Number of	Derivative	Security:		
	Date				Security	Direct (D)		
	Exercisable					or Indirect		

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				Shares		(I) (Instr. 5)	
Common Stock (Options to Purchase)	04/14/2004	04/14/2013	Common Stock (Options to Purchase)	1,000	\$ 29.55	D	Â
Common Stock (Options to Purchase)	03/25/2005	03/25/2014	Common Stock (Options to Purchase)	600	\$ 41.35	D	Â
Common Stock (Options to Purchase)	05/27/2006	05/27/2015	Common Stock (Options to Purchase)	700	\$ 31.15	D	Â
Common Stock (Options to Purchase)	06/27/2007	06/27/2016	Common Stock (Options to Purchase)	500	\$ 36.56	D	Â
Common Stock (Options to Purchase)	06/20/2009	06/20/2018	Common Stock (Options to Purchase)	500	\$ 25.71	D	Â
Common Stock (Options to Purchase)	11/05/2009	11/05/2018	Common Stock (Options to Purchase)	700	\$ 17.6	D	Â
Common Stock (Options to Purchase)	11/12/2010	11/12/2019	Common Stock (Options to Purchase)	2,000	\$ 11.74	D	Â
Common Stock (Options to Purchase)	02/08/2014	02/08/2023	Common Stock (Options to Purchase)	3,000	\$ 28.67	D	Â

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners

### Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 3

Director 10% Owner Officer Other

Bliss Kathy

ETHAN ALLEN DRIVE Managing Director DANBURY, CTÂ 06811

# **Signatures**

Kathy Bliss 02/12/2013

\*\*Signature of Date

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3