CIENA CORP Form 4

December 23, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * ALEXANDER STEPHEN B

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

CIENA CORP [CIEN]

3. Date of Earliest Transaction

(Check all applicable)

C/O CIENA CORPORATION, 1201

(Middle)

12/21/2011

(Month/Day/Year)

Director _X__ Officer (give title below)

10% Owner Other (specify

Sr VP Chief Technology Officer

WINTERSON ROAD (Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person

Form filed by More than One Reporting

Person

LINTHICUM,, MD 21090

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	e Secu	rities Acqui	red, Disposed of,	or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	12/21/2011		S	5,875 (1)	D	\$ 11.3504	247,063	D	
Common Stock	12/21/2011		S	453 (1)	D	\$ 11.3486	246,610	D	
Common Stock	12/21/2011		S	2,938 (1)	D	\$ 11.3496	243,672	D	
Common Stock	12/21/2011		S	9,415 (2)	D	\$ 11.3504	234,257	D	
Common Stock	12/21/2011		S	1,695 (3)	D	\$ 11.3496	232,562	D	

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Common Stock 12/21/2011 S $\frac{1,436}{(4)}$ D $\frac{\$}{11.3488}$ $231,126\frac{(5)}{(5)}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Date Exercisable and 7. Titl	le and 8. Price of 9. N
piration Date Amou	int of Derivative Der
Ionth/Day/Year) Under	rlying Security Sec
Securi	ities (Instr. 5) Ber
(Instr.	3 and 4) Ow
	Fol
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	(Ins
	Amount
te Expiration Title	or Number
tercisable Date	of
	Shares
.p Ic	e Expiration Date Amounth/Day/Year) Under Securion (Instr.

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ALEXANDER STEPHEN B C/O CIENA CORPORATION 1201 WINTERSON ROAD LINTHICUM,, MD 21090

Sr VP Chief Technology Officer

Signatures

By: Erik Lichter For: Stephen B.
Alexander

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related (1) to a restricted stock unit (RSU) award agreement dated 12/18/2007. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2007.

(2)

Reporting Owners 2

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Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/16/2008. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/18/2008.

- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related (3) to a restricted stock unit (RSU) award agreement dated 12/16/2009. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/18/2009.
- Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related (4) to a restricted stock unit (RSU) award agreement dated 12/14/2010. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2010.
- (5) Shares reported include unvested Restricted Stock Units (RSUs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.