

SURMODICS INC  
Form 8-K  
July 26, 2017

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF THE  
SECURITIES EXCHANGE ACT OF 1934**

July 26, 2017  
Date of report (Date of earliest event reported)

**Surmodics, Inc.**  
(Exact Name of Registrant as Specified in its Charter)

**Minnesota**                      **0-23837**                      **41-1356149**  
(State of Incorporation)    (Commission File Number)    (I.R.S. Employer  
Identification No.)

**9924 West 74<sup>th</sup> Street**

**Eden Prairie, Minnesota**                      **55344**  
(Address of Principal Executive Offices)    (Zip Code)

**(952) 500-7000**  
(Registrant's Telephone Number, Including Area Code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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**Item 8.01 Other Events.**

On July 26, 2017, Surmodics, Inc. announced receipt of an investigational device exemption from the U.S. Food and Drug Administration to initiate a pivotal clinical trial of the SurVeil™ drug-coated balloon. A copy of a press release announcing the foregoing is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

**Item 9.01 Financial Statements and Exhibits.**

(d) *Exhibits*

99.1 Press Release dated July 26, 2017

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SURMODICS, INC.

Date: July 26, 2017 /s/ Bryan K. Phillips

Bryan K. Phillips

Sr. Vice President, General  
Counsel and Secretary

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**EXHIBIT INDEX**

**Exhibit**

| <b>Number</b> | <b>Description</b>                | <b>Manner of Filing</b> |
|---------------|-----------------------------------|-------------------------|
| 99.1          | Press Release dated July 26, 2017 | Filed Electronically    |