Synthetic Biologics, Inc. Form 3 January 18, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Sliman Joseph A.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/17/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Synthetic Biologics, Inc. [SYN]

C/O SYNTHETIC BIOLOGICS, INC.,, 9605 MEDICAL

CENTER DRIVE, STE. 270

(Street)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

CHIEF MEDICAL OFFICER

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### ROCKVILLE. MDÂ 20850

1. Title of Security

(City)

(Instr. 4)

(State) (Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Form: Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and (Instr. 4)

**Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial

(Instr. 4) Price of

Security

Ownership (Instr. 5)

Date Exercisable Expiration Date

Title Amount or Number of Derivative Security: Direct (D)

Derivative

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option	02/24/2014	02/23/2019	Common Stock	350,000	\$ 2.73	D	Â
Stock Option	12/31/2014	12/30/2024	Common Stock	30,000	\$ 1.46	D	Â
Stock Option	12/04/2015	12/03/2022	Common Stock	275,000	\$ 2.76	D	Â
Stock Option	11/30/2016	11/29/2023	Common Stock	327,800	\$ 0.8	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
Sliman Joseph A. C/O SYNTHETIC BIOLOGICS, INC., 9605 MEDICAL CENTER DRIVE, STE. 270 ROCKVILLE, MD 20850	Â	Â	CHIEF MEDICAL OFFICER	Â	

## **Signatures**

/s/ Joseph Sliman	01/18/2017		
**Signature of Reporting Person	Date		

# **Explanation of Responses:**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 5(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).