### Edgar Filing: VAN OORT DOUGLAS M - Form 4

#### VAN OORT DOUGLAS M

Form 4

August 08, 2011

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 F obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *_ VAN OORT DOUGLAS M			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
			NEOGENOMICS INC [NGNM.OB]					(Check all applicable)				
(Last)	(First) (N	Middle)	3. Date of Earliest Transaction									
C/O NEOCENOMICS INC. 12701			(Month/Da	•				X Director X Officer (gi		6 Owner er (specify		
C/O NEOGENOMICS, INC., 12701 COMMONWEALTH DRIVE			08/08/2011					_X_ Officer (give title Other (specify below)				
SUITE 9								Chairman and CEO				
				4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
, ,			Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting				
FORT MYERS, FL US 33913									Person			
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner.							lly Owned				
1.Title of	2. Transaction Dat	e 2A. Dee	emed	3.	4. Securi	ities		5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)		on Date, if		onAcquired			Securities Banaficially	Form: Direct	Indirect Beneficial		
(Instr. 3)		any (Month/	Day/Year)	Code (Instr. 8)	Disposed (Instr. 3,			Beneficially Owned	(D) or Indirect (I)	Ownership		
		Ì	• •	, ,				Following	(Instr. 4)	(Instr. 4)		
						(A)		Reported Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	08/08/2011			P	2,000	A	\$ 1.3	799,456	I	Trust by Self		
Common Stock								8,600	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

#### Edgar Filing: VAN OORT DOUGLAS M - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. biNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Stock options(Right to buy)	\$ 0.8					12/31/2009(1)	03/15/2016	Common Stock	0
Warrants(Right to buy)	\$ 1.05					03/16/2009(2)	03/15/2014	Common Stock	0

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

VAN OORT DOUGLAS M C/O NEOGENOMICS, INC. 12701 COMMONWEALTH DRIVE SUITE 9 FORT MYERS, FL US 33913

X

Chairman and CEO

### **Signatures**

/s/ Douglas VanOort 08/08/2011

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 15, 2009 Mr. VanOort was granted options to purchase 1,000,000 shares. The grant was comprised of 500,000 time based options and 500,000 performance based options. The first date of vesting when an option became exercisable was December 31, 2009 when 200,000 performance based options vested. Mr. VanOort will have 374,000 time based options vested and exercisable within 60 days of this filing. There are 300,000 performance based options which are not vested or exercisable.
- On March 16, 2009 Mr. VanOort was granted a warrant to purchase 625,000 shares. Of this total 125,000 warrants were immediately exercisable and vested. The remaining 500,000 warrants vest based on performance of the Company stock price and are not exercisable or vested at the present time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

# Edgar Filing: VAN OORT DOUGLAS M - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.