RODRIGUEZ ROLANDO B

Form 4 June 01, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * RODRIGUEZ ROLANDO B

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

MARCUS CORP [MCS]

(Middle)

(Zip)

3. Date of Earliest Transaction

10% Owner

THE MARCUS

CORPORATION, 100 E.

WISCONSIN AVE., SUITE 1900

(Month/Day/Year)

05/30/2018

Director X_ Officer (give title Other (specify below)

Pres. & CEO - Marcus Theatres

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MILWAUKEE, WI 53202

		Tuble 1 110h Berryadire Securities Required, Disposed of, of Beneficianty 6 wheat									
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Securities Acquired (A) Transaction Disposed of (D)			5. Amount of Securities	6. Ownership	7. Nature of Indirect			
(Instr. 3)		any	Code (Instr. 3, 4 and 5)			Beneficially	Form:	Beneficial			
` '		(Month/Day/Year)	(Instr. 8)	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
			Couc v	Amount	(D)						
Common Stock	05/30/2018		S	12,000	D	\$ 31.3094 (1)	43,100	D			
Common							586.85 <u>(2)</u>	I	By 401(k)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Plan

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S ((
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04					<u>(2)</u>	07/30/2023	Common Stock	2,000	
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34					<u>(3)</u>	07/29/2024	Common Stock	18,000	
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26					(3)	07/28/2025	Common Stock	20,000	
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68					(3)	03/01/2026	Common Stock	12,500	
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2					<u>(3)</u>	02/28/2027	Common Stock	20,000	
Stock Option	\$ 27					<u>(3)</u>	02/27/2028	Common Stock	34,000	

8. Price Deriva Securit (Instr. (right to buy) (granted 2/27/18)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RODRIGUEZ ROLANDO B THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202

Pres. & CEO - Marcus Theatres

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Rolando B. Rodriguez

06/01/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price in Column 4 is a weighted average price. The prices actually received ranged from \$31.2994 to \$31.35. The reporting person
- (1) has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- (2) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (3) The options granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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