GERSHOWITZ DIANE M

Form 4

December 29, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

response...

Estimated average

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * GERSHOWITZ DIANE M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

MARCUS CORP [MCS] 3. Date of Earliest Transaction

(Check all applicable)

(Last) (First)

(Month/Day/Year)

12/28/2017

_X__ Director 10% Owner Officer (give title Other (specify

THE MARCUS

CORPORATION, 100 E.

WISCONSIN AVE., SUITE 1900

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

MILWAUKEE, WI 53202

(City)	(State) (Z	Zip) Table	ble I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securit		r	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	Disposed (Instr. 3,		·	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
Common Stock	12/28/2017		A	1,500 (1)	A	\$ 0	10,671	D			
Common Stock							350	I	By DG-LDJ Holdings, LLC		
Common Stock							12,631	I	As Trustee (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Securitie	ative es d	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) (3)	\$ 27.2	12/28/2017		A	1,000		12/28/2017	12/28/2027	Common Stock	1,000
Stock Option (Right to Buy) (3)	\$ 17.17						05/29/2008	05/29/2018	Common Stock	500
Stock Option (Right to Buy) (3)	\$ 10.78						05/28/2009	05/28/2019	Common Stock	500
Stock Option (Right to Buy) (3)	\$ 11.14						05/27/2010	05/27/2020	Common Stock	500
Stock Option (Right to Buy) (3)	\$ 10.5						05/26/2011	05/26/2021	Common Stock	500
Stock Option (Right to Buy) (3)	\$ 13.33						05/31/2012	05/31/2022	Common Stock	500
Stock Option (Right to Buy) (3)	\$ 13.45						05/30/2013	05/30/2023	Common Stock	1,000

Stock Option (Right to Buy) (3)	\$ 16.84	05/29/2014	05/29/2024	Common Stock	1,000
Stock Option (Right to Buy) (3)	\$ 19.65	05/28/2015	05/28/2025	Common Stock	1,000
Stock Option (Right to Buy) (3)	\$ 18.97	12/31/2015	12/31/2025	Common Stock	583
Stock Option (Right to Buy) (3)	\$ 31.55	12/29/2016	12/29/2026	Common Stock	1,000
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	36,786
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	2,832,14
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	183,628
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	50,845

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GERSHOWITZ DIANE M							
THE MARCUS CORPORATION	X						
100 E. WISCONSIN AVE., SUITE 1900	Λ						
MILWAUKEE, WI 53202							

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Diane M.

Gershowitz

**Signature of Reporting Person

Date

Reporting Owners 3

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted December 28, 2017 vests and becomes exercisable as follows: 50% after 3rd anniversary of the date of the grant and 100% after 5th anniversary of the date of the grant or upon death, disability or retirement.
- (2) As trustee for brother's children.
- (3) Granted pursuant to The Marcus Corporation 2004 Equity and Incentive Awards Plan.
- (4) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (5) This security is immediately exercisable.
- (6) No expiration date.
- (7) By the Ben and Celia Marcus 1992 Revocable Trust F/B/O Diane M. Gershowitz.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.