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NATIONAL INSTRUMENTS CORP

Form 3

January 04, 2017

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement NATIONAL INSTRUMENTS CORP [NATI] A Roiko John Charles (Month/Day/Year) 12/20/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O NATIONAL (Check all applicable) **INSTRUMENTS** CORPORATION. 11500 10% Owner Director NORTH MOPAC _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Interim CFO Filing(Check Applicable Line) _X_ Form filed by One Reporting Person AUSTIN. TXÂ 78759 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock 16,514 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and (Instr. 4)

Expiration Date (Month/Day/Year)

Conversion Ownership Derivative Security

Ownership Or Exercise

Form of (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4)		Price of	Derivative	
			Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(1)	05/01/2023	Common Stock	331	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	05/01/2024	Common Stock	991	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	05/01/2026	Common Stock	2,592	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	05/01/2027	Common Stock	3,416	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	05/01/2028	Common Stock	1,645	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	05/01/2030	Common Stock	3,600	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(1)	05/01/2031	Common Stock	4,000	\$ <u>(2)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships				
1	Director	10% Owner	Officer	Other		
Roiko John Charles C/O NATIONAL INSTRUMENTS CORPORATION 11500 NORTH MOPAC AUSTIN. TX 78759	Â	Â	Interim CFO	Â		

Signatures

John Charles
Roiko

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest and become exercisable based on time and performance milestones set by the Issuer.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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