

Emergent BioSolutions Inc.
Form 4
May 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SULLIVAN LOUIS W

(Last) (First) (Middle)

400 PROFESSIONAL DR, SUITE 400

(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction (Month/Day/Year)
05/12/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | | | |
| Common Stock ⁽¹⁾ | 05/12/2016 | | M | | 300 | A | \$ 8.43 28,800 | D | |
| Common Stock ⁽¹⁾ | 05/12/2016 | | S | | 300 | D | \$ 39 28,500 | D | |
| Common Stock ⁽¹⁾ | 05/13/2016 | | M | | 4,131 | A | \$ 8.43 32,631 | D | |
| Common Stock ⁽¹⁾ | 05/13/2016 | | S | | 4,131 | D | \$ 39 28,500 | D | |
| Common Stock ⁽²⁾ | 05/13/2016 | | M | | 21,600 | A | \$ 8.76 50,100 | D | |

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| | | | | | | | |
|-------------------------|------------|---|--------|---|------------------|--------|---|
| Common Stock <u>(2)</u> | 05/13/2016 | S | 21,600 | A | \$ <u>(3)</u> | 28,500 | D |
| Common Stock <u>(4)</u> | 05/13/2016 | M | 21,600 | A | \$ 11.67 | 50,100 | D |
| Common Stock <u>(4)</u> | 05/13/2016 | S | 21,600 | D | \$ <u>(3)</u> | 28,500 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Option <u>(1)</u> | \$ 8.43 | 05/12/2016 | | M | 300 | <u>(5)</u> 06/13/2017 | Common Stock | 300 |
| Stock Option <u>(1)</u> | \$ 8.43 | 05/13/2016 | | M | 4,131 | <u>(5)</u> 06/13/2017 | Common Stock | 4,131 |
| Stock Option <u>(2)</u> | \$ 8.76 | 05/13/2016 | | M | 21,600 | <u>(6)</u> 05/20/2018 | Common Stock | 21,600 |
| Stock Option <u>(4)</u> | \$ 11.67 | 05/13/2016 | | M | 21,600 | <u>(7)</u> 05/20/2019 | Common Stock | 21,600 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SULLIVAN LOUIS W
400 PROFESSIONAL DR, SUITE 400 X
GAITHERSBURG, MD 20879

Signatures

/s/ Eric Burt, 05/16/2016
attorney-in-fact

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Consists of an option granted under the company's stock incentive plan on June 14, 2007.
- (2) Consists of an option granted under the company's stock incentive plan on May 21, 2008.
- (3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.00 to \$39.47; inclusive.
- (4) Consists of an option granted under the company's stock incentive plan on May 21, 2009.
- (5) The option vested in three equal installments in June 2008, 2009 and 2010.
- (6) The option vested in three equal installments in May 2009, 2010 and 2011.
- (7) The options vested in three equal installments in May 2010, 2011 and 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.